

ATTACHMENT #1
UAF STAFF COUNCIL #206
April 21, 2010
SUBMITTED BY: Martin Klein

Staff Council President's Report

April 21, 2010

Issues to Highlight

FY11 Budget –

The legislature has passed the operating budget for FY11. This budget includes staff salary increases of 3%.

Healthcare

The Joint Health Care Committee (JHCC) consists of both Union and management representatives. One of the management representatives is a member of staff alliance. The committee is tasked with looking at the University's health care plan and making recommendations to administration.

New (higher) health care rates are published as we are now the open enrollment period.

<http://www.alaska.edu/benefits/>

Union Organization Effort

An arbitrator has ruled that only ASEA can proceed with unionization efforts at UA. ASEA has filed a petition clarifying the employee group they are trying to organize. A copy is available on the SW Labor Relations site listed below.

The Statewide Office of Labor and Employee Relations website has several links with information regarding this process. The union website can also provide information.

<http://www.alaska.edu/hr/labor/index.xml>

www.afscmelocal52.org

Executive Leadership Workshop February 2 & 3

A follow up budget meeting to the Executive Leadership Workshop is being held April 30th. I will be attending that meeting. Attached is a memo from the Chancellor and notes from that meeting.

Committee Meetings

Faculty Senate –Meeting April 5, 2010

I gave a brief report at Faculty Senate regarding Staff Council. Key issues for Faculty Senate's remain The Academic Master Plan, bookstore plans, Accreditation Themes, and Distance Education Delivery. I also again reminded them of the upcoming staff appreciation day and encouraged their participation.

<http://www.uaf.edu/uafgov/facultySenate.html>

Governance Coordinating Committee

This committee has not met since our last meeting.

Committee is reviewing purpose and bylaws.

<http://www.uaf.edu/uafgov/coordcommittee.html>

Staff Alliance – Meetings January 20 & February 9

Discussion of Presidential Search, Union Organizing activity, Health care changes

<http://gov.alaska.edu/Staff/Agendas/>

Evaluation Committee

This committee has not met since my last report. Topics on the table for the next meeting : Scoring and whether or not there should be an overall numerical score to an evaluation.

Chancellor Pre-Staff April 13, 2010

Discussed

Staff Appreciation Day & Venue
Compensation increase & Health Care Costs
Legislative action on Life Sciences
Budget Concerns and Carry forward issues.

Human Resources Council April 22, 2010 – Meeting Cancelled

Business Council March 24, 2010 – Management Review Meeting

This meeting included Management Report Reviews from all MAU's and was an all day meeting. I was only able to listen into a small portion of this meeting. The agenda is attached.

Other meetings

MacTaggart Report

<http://www.uaf.edu/chancellor/communications/AlaskaReport0809.pdf>

Information Technology Executive Council (ITEC)

<http://www.alaska.edu/itec/>

Leadership Workshop Presentation on Budget 2/3/2010

<http://www.uaf.edu/files/adminsvc/Leadership%20Workshop%20Final.pdf>

Gov.'s proposed FY 11 operating budget:

http://www.gov.state.ak.us/omb/11_omb/budget/index_FY11_Operating.htm

Budget Details for UA

http://www.gov.state.ak.us/omb/11_omb/budget/UA/index.htm



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To: Executive Leadership Workshop Participants

From: Brian Rogers, Chancellor

A handwritten signature in black ink, appearing to read "B. Rogers", is placed over the name "Brian Rogers" in the "From:" line.

RE: ELW Update

Date: March 22, 2010

First, I would like to thank each of you personally for taking two complete days out of your schedules to work with me on tackling some of the tough issues facing UAF. It will be through a continuation of the discussions and teamwork displayed during our program that UAF will advance in the future.

Attached is a summary of the notes from the facilitated discussions and the open space exercise from our two days together. Each set of facilitators received these summaries and a complete set of notes on their sections shortly after the workshop. The notes are extensive, some 65 pages, so only the summaries are being sent to all of the participants. Please let Bob Shefchik know if you would like a set of the detailed notes for any or all of the topics, and he will provide them to you. Special appreciation goes out to our note takers: Susan Gaudin, Bambi Nelson, Mary Parsons, Rachel Craig, Jennifer Fontes, and Jessica Potrikus. Without their assistance we would not have been able to track the results of the broad ranging discussions and brainstorming sessions.

The Cabinet intends to move forward on the major issues discussed: Leadership and Change; Branding, Marketing, and Recruiting; Student Focused Research University; and Budget Challenges and Efficiencies. The facilitators of each topic area will be the ones to carry the initiatives forward in their areas of responsibility. Your collective input will be used to focus and guide their actions. These themes will also appear as you work on Provost Council, Research Working Group, or School/Institute issues. I ask that you let the facilitators know if you have a desire to be involved and assist moving any of these themes forward.

The Open Space Exercise also identified a number of areas that we collectively saw as important to undertake as a management team. Those topic areas were ranked by the group as part of the exercise. Thus, the ones ranked near the top will be the ones that will draw the most central

Alaska's First University – America's Arctic University

UAF is an AA/EQ employer and educational institution

attention and/or resources. That does not mean the others have no value to the organization. I expect the items of high value to individuals and departments to still draw efforts from those who want to see improvements in those areas. The Open Space summary contains the ranking of each of the topic areas along with the name of the person in the administration designated to own the advancement of that topic into the future. In some cases, that is the same person who served as the session convener – but only when that seemed organizationally appropriate. I want to thank the conveners for bringing forth this wide range of topics for us to wrestle with and for being willing to facilitate and report out on these important areas. Using the same approach with the open space topics as with the four major workshop themes, I encourage you to contact the “owners” of any session topics that hold a particular interest or value to you. While they have undertaken the responsibility to lead movement on their topic(s), they will need your input and assistance to bring about effective change.

Overall, I was pleased with the intensity and content of the participation. I am committed to bringing the management team of UAF together regularly to tackle the challenges and opportunities that we will need to face together. My sense of the workshop was that while brainstorming and issue development was most appropriate for an initial workshop, the next few times we get together need to be more focused and outcomes based. To that end, I plan to reconvene the group in late April, with the topic being FY11 budget allocations. At that time, we will know the results of legislative action, we will have a good sense of UAF’s FY10 budget picture, and decisions will need to be made. Please reserve the morning of April 30th for this effort. As we move forward for the next year, I will look to pull the group back together every 3-6 months on a specific topic. The timing for the next full/two day event will be based on the perceptions of the group and the existence of an identified need to address multiple complex issues in such a concentrated manner. I value your time, so will only convene the group for issues and topics where meeting as a full team is necessary.

Again, thank you for your thoughts, expertise, and continued efforts to support UAF. I never cease to be amazed at the depth and quality of the talent on our UAF management team. Each of you will lead UAF forward.

To: Brian Rogers
Terry MacTaggart

From: Bob Shefchik

Date: February 9, 2010

Attached are the notes from the three sectionals on Leadership and Change. The notes are grouped under each major topic area, with themes identified for each topic area.

Change Themes

- Academic Change
- Statewide Change Facing Alaska
- Suggested Approaches to Change
- Barriers to Change
- External Forces that Require Change
- Suggested Ways to Promote Change
- Risk Issues Blocking Change

Leadership Themes

- Suggested Approaches to Leadership
- Knowledge Transfer Between Units (cross train)
- Expectations (positive and negative) of Leaders
- Suggested External Actions to Develop Leaders
- Suggested Internal Actions to Develop Leaders
- Faculty Issues
- Mentor Programs
- Ways to Promote Leadership
- Support for Specific Training

The detail is provided behind each theme classification. Keep in mind this was not a scientifically-based content analysis. Rather – it represents my effort to look at the notes provided and group them together into useful content areas. The notes are available in Excel format to allow you to reanalyze and regroup based on your sense of the data should you desire.

In addition to generalized themes, the nature of the discussion led to several discrete suggestions that we will want to consider as ways move the development of the UAF leadership core forward. In both areas, as well as in the budget discussions, there was also significant mention of an urgency and/or need to act expressed by participants.

To: Mike Sfraga
Scott McCrea

From: Bob Shefchik

Date: February 9, 2010

Attached are the notes from the three sectionals on Marketing, Branding, and Recruiting. The notes are grouped under each major topic area, with themes identified for each topic area.

Branding Themes

- Adventure / Experience
- Sense of Community
- Sense of Identity
- Learn / Discover
- Sense of Place
- Value – Reputation – Career Opportunities

Marketing Themes

- Suggested Approaches to Marketing
- Suggested Focus Areas for Marketing
- Hurdles to Effective Marketing
- Web / Technology Based Suggestions for Marketing

Recruiting Themes

- Suggested Approaches to Recruiting
- Data Based Recruiting Choices
- Distance Delivery and Recruiting Interaction
- Suggested Focus Areas for Recruiting
- Graduate Student Recruiting
- Hurdles to Recruiting
- Interpersonal Aspects of Recruiting
- Potential Components of a Recruiting Plan
- Value – Reputation – Career Opportunity
- Web / Technology suggestions for Recruiting

The detail is provided behind each theme classification. Keep in mind this was not a scientifically-based content analysis. Rather – it represents my effort to look at the notes provided and group them together into useful content areas. The notes are also provided in Excel format to allow you to reanalyze and regroup based on your sense of the data.

To: Susan Henrichs
Buck Sharpton

From: Bob Shefchik

Date: February 12, 2010

Attached are the notes that were generated from the sectionals that you facilitated on the “Student Centered/Focused Research University” concept as it applies to UAF. The discussions were organized by a set of questions designed to prompt participant discussion.

To parallel that structure – I provided the questions followed by the answers in one set of notes. I expect this will be of help in seeing how the leadership group responded to the questions you posed. (This is the set of notes with the footer “St Focused Res Univ – Question.”)

I also provide an additional set of notes, with the bullet points sorted by whether the discussion was directed at making UAF more “student focused or centered” or directed at infusing UAF’s research activity into the student educational experience. (This is the set of notes with the footer “St Focused Res Univ – St v Res.”) My sense of the notes was that there was frequently a split in the comments – depending on the interest of the participants. One approach to applying the concept of a research focused/centered research university would be to take steps to make UAF more student centered while concurrently acting to better incorporate the research focus at UAF into the student educational experience. The former should always be occurring – and does not need to wait while the undergraduate research effort expands. Good suggestions for both paths are contained in the notes.

The comments are also provided in Excel format to allow you to reanalyze and regroup based on your sense of the data.

To: Pat Pitney
Stuart Roberts
Phill Harrington

From: Bob Shefchik

Date: February 12, 2010

Attached are the notes from the three breakout discussions on the FY11 and FY12 budgets. Major themes and/or content areas have been combined from the notes of each of your breakout groups. These include:

- Budget Approaches
- Capacity Considerations
- Suggestions Addressing Costs
 - Admin Efficiency
 - Allocation
 - Consolidations
 - Employee Savings
 - Program Eliminations
 - Energy and Health
- Suggestions Addressing Revenues
 - Academic
 - Federal
 - Land
 - Private
 - Research
 - State
- Use of Distance Education
- Metrics for Decision Making

The detail is provided behind each theme classification showing how I sorted the bullet points from each discussion. Keep in mind this was not a scientifically-based content analysis. Rather – it represents my effort to look at the notes provided and group them together into useful content areas. The notes are also provided in Excel format to allow you to reanalyze and regroup based on your sense of the data.

Open Space Summary

Group Topic	Rank	Convener	Future Owner
• Removing the Top 10 Obstacles to Student Success	1	Rick Caulfield	Henrichs, Joseph, Sfraga
• ICR + Research Matching Funds	2	John Blake	Shefchik
• Engaging the Private Sector	3	Gwen Holdman	Rogers
• Energy	4	Bernice Joseph	Pitney
• Year Round Campus	5	Michelle Bartlett	Bartlett,
			Sfraga
• Fund Raising	6	Emily Drygas	Drygas
• Instructional Technology and Delivery	6	Eric Heyne	Kowalski, Hwu
<hr/>			
• Undergraduate Student Projects	8	Doug Goering	VCR
• Merit Based Pay Incentives	9	Nettie LaBelle-Hamer	Racina
• Training and Prof Development	9	Eric Madsen	Pitney
• Travel	11	Roger Smith	Roberts
• Facility Charges	12	James Heusman	Pitney
• Metrics for Budget Reductions	13	Charlie Dexter	Thomas
• Simplify Accreditation	14	Dana Thomas	Holden
• Bookstore	15	Forrest Karr	Racina
• Hiring	15	Cathy Cahill	McCrea
• Administrative Communication	15	Paul Layer	Sharpton
• Faculty Partnerships Across Units	15	Pat Pitney	

UA Business Council Agenda

DATE	Wednesday, March 24, 2010	NAME	TITLE	DEPARTMENT
TIME	9:00 a.m. – 3:30 p.m.	Joe Trubacz	BC Chair, VP for Admin. and Financial Svcs./CFO	SW Finance Office
	Fairbanks – SCCR Juneau – Chancellor's Conf. Rm.	Bill Spindle	Vice Chancellor	UAA Administrative Services
AUDIO	(800) 893-8850	Pat Pitney	Vice Chancellor	UAF Administrative Services
	Meeting Passcode: 5560195	Carol Griffin	Vice Chancellor	UAS Administrative Services

9:00 a.m.	CFO	Introduction and Overview
9:15 a.m.	UAA	Management Report Review
10:15 a.m.	UAF	Management Report Review
11:15 a.m.	UAS	Management Report Review
12:15 p.m.		Lunch
12:30 p.m.	SW	Management Report Review
1:00 p.m.		Open Item Forum
	Financial Systems	PCI
	Controller	Update
	Budget/Planning	Update
	Facilities	Capital Projects Checklist
	Human Resources	Health Care HR Records Retention Schedule
	CITO	Distance Education Portal Technology Needs Video Conf. Svcs. FY10 Clean Up Video Conf. Svcs. FY11 MAU Projections
	Risk Services	Update

March 1, 2010

TO: Karin Baldwin, SW Fund Accounting

FROM: Joan Harings, Director, Budget

SUBJECT: UAA Management Report for period ending 1/31/10

Attached are the projection summary sheets for UAA using Cycle 7 data (1/31/10). The summary sheets cover the UAA MAU allocations.

The summary sheets reflect a surplus at year-end of \$9,072,333, which includes all community campuses and recharge centers. The estimated lapse by allocation is as follows:

Anchorage	\$ 7,721,963
Recharge Centers	712,185
Small Business Development Center	0
Kenai Peninsula College	290,000
Kodiak College	125,754
Mat-Su College	170,463
Prince William Sound	51,968
Total	\$ 9,072,333

The methodology used in the projection includes the estimate of salary, leave, and benefits by review of individual position. Other expenditures are projected by department estimate. Revenue projections reflect estimated deferrals of tuition and fees, but do not reflect the tuition allowance adjustment which will be made at year-end. Adequate allowances for uncollectible accounts have been established.

All auxiliary operations, including community campuses, have adequate fund balances to cover inventory and cost of operations.

Overall, the MAU has sufficient budget authority to cover anticipated revenue receipts, with the exception of Federal Receipts. We will monitor this activity, but may have to request authority from LB&A prior to year-end. UA Receipts authority will balance out when prior year carryforward revenues are reversed at year-end. Budget alignments and authority are an ongoing process and will continue throughout the year to permit full carryforward of any projected lapse.

Please let me know if you have questions.

Att.

**University of Alaska Anchorage
Revenue & Expenditure Summaries
Projected at January 31, 2010**

	Total state approved budget	Revenue actual to date	Total projected revenue	Expenditures and encum. actual to date	Total projected exp and encum.	Projected revenue (over) under budget	Projected expenditures (over) under budget	Projected gain (deficit)	Expend. & Encum.	
									Actual %	Expect. %
Anchorage:										
Designated	2,000,000	2,045,313	2,045,313	2,045,313	2,045,313	(45,313)	(45,313)	0	102%	58%
Unrestricted	183,665,443	177,920,584	188,601,259	108,529,155	180,167,110	(4,935,816)	3,498,333	8,434,149	59%	58%
Auxiliary	22,020,577	18,296,390	21,055,689	12,887,374	20,787,235	964,888	1,233,342	268,454	59%	58%
Restricted	35,970,549	23,761,919	34,693,133	27,814,567	34,693,133	1,277,416	1,277,416	(0)	77%	58%
Small Business Development Center:										
Unrestricted	887,200	821,104	887,200	519,141	887,201	(0)	(1)	(0)	59%	58%
Kenai:										
Unrestricted	10,002,100	10,969,339	11,224,973	6,571,281	10,934,973	(1,222,873)	(932,873)	290,000	66%	58%
Auxiliary	496,600	465,313	489,813	467,135	489,813	6,787	6,787	0	94%	58%
Restricted	1,593,600	706,110	1,137,142	710,347	1,137,142	456,458	456,458	0	45%	58%
Kodiak:										
Unrestricted	3,619,940	3,581,219	3,586,719	1,996,827	3,460,965	33,221	158,975	125,754	55%	58%
Auxiliary	84,200	71,808	90,808	80,180	90,180	(6,608)	(5,980)	627	95%	58%
Restricted	671,000	51,210	76,815	50,894	76,815	594,185	594,185	0	8%	58%
Matanuska-Susitna:										
Designated	0	0	0	0	0	0	0	0		
Unrestricted	7,769,278	8,522,572	8,717,550	4,869,209	8,547,087	(948,272)	(777,809)	170,463	63%	58%
Auxiliary	400,000	387,289	387,289	287,250	287,250	12,711	112,750	100,039	72%	58%
Restricted	1,491,113	67,479	101,218	67,479	101,218	1,389,895	1,389,895	0	5%	58%
Prince William Sound:										
Designated	0	0	0	0	0	0	0	0		
Unrestricted	5,891,662	5,025,366	5,436,500	3,195,365	5,384,532	455,162	507,130	51,968	54%	58%
Auxiliary	298,700	120,195	145,195	193,893	223,784	153,505	74,916	(78,589)	65%	58%
Restricted	1,370,100	564,585	846,877	376,266	846,877	523,223	523,223	0	27%	58%
Total UA Anchorage	<u>278,232,062</u>	<u>253,377,794</u>	<u>279,523,492</u>	<u>170,661,674</u>	<u>270,160,627</u>	<u>(1,291,430)</u>	<u>8,071,435</u>	<u>9,362,865</u>	<u>61%</u>	<u>58%</u>

**University of Alaska Anchorage
Detail Auxiliary Expense Report
Projected at January 31, 2010**

	<u>Beginning fund balance</u>	<u>Budget</u>	<u>Revenue YTD</u>	<u>Revenue projected</u>	<u>Expense YTD</u>	<u>Expenditures projected</u>	<u>Gain (deficit)</u>	<u>Projected ending fund balance</u>
Anchorage:								
Bookstore	1,681,051	8,047,000	6,756,691	8,046,999	4,801,935	8,034,279	12,720	
Student Housing	32,742	8,840,424	7,598,011	8,758,748	3,435,520	6,747,375	2,011,373 a)	
Food Service	0	0	6,757	6,757	2,057,178	2,057,178	(2,050,421) a)	
Parking	637,766	2,776,558	2,635,930	2,944,184	1,594,093	2,949,755	(5,571) b)	
Health Center	336,023	1,400,000	1,299,000	1,299,000	998,647	998,647	300,352	
Auxiliary contingency	0	956,595	0	0	0	0	0	
Sub-total - Anchorage	<u>2,687,581</u>	<u>22,020,577</u>	<u>18,296,390</u>	<u>21,055,689</u>	<u>12,887,374</u>	<u>20,787,235</u>	<u>268,454</u>	<u>0</u>
Kenai Bookstore	317,729	431,600	405,281	428,281	424,320	428,281	(0)	
Kenai Health Center	27,516	65,000	60,032	61,532	42,815	61,532	1	
Kodiak	123,711	84,200	71,808	90,808	80,180	90,180	627 b)	
Matanuska-Susitna	47,030	400,000	387,289	387,289	287,250	287,250	100,039 b)	
Prince William Sound Housing	188,306	251,600	119,505	144,505	193,893	223,784	(79,279) b)	
Prince William Sound Bookstore	(5,952)	47,100	690	690	0	0		
Total - UAA	<u>3,391,873</u>	<u>23,252,977</u>	<u>19,340,305</u>	<u>22,168,104</u>	<u>13,915,832</u>	<u>21,878,262</u>	<u>289,842</u>	<u>0</u>

a) Housing and Conferencing are combined for management purposes; we are working with the department on projected deficit.

b) Reflects planned expenditures; sufficient fund balance exists from prior years.

c) Investment loss.

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	General Funds								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	101,714,950	0	101,714,950	101,512,550	202,400	101,714,950	0	100%	100%
SBDC	807,200		807,200	807,200	0	807,200	0	100%	100%
Kenai	6,595,100	0	6,595,100	6,595,100	0	6,595,100	0	100%	100%
Kodiak	2,755,200	0	2,755,200	2,754,500	700	2,755,200	0	100%	100%
Matanuska-Susitna	4,347,350	0	4,347,350	4,347,350	0	4,347,350	0	100%	100%
Prince William Sound	3,116,000	0	3,116,000	3,116,000	0	3,116,000	0	100%	100%
Total UA Anchorage	119,335,800	0	119,335,800	119,132,700	203,100	119,335,800	0	100%	100%
<u>Restricted funds</u>									
Anchorage									
Kenai									
Kodiak									
Matanuska-Susitna									
Prince William Sound									
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	101,714,950	0	101,714,950	101,512,550	202,400	101,714,950	0	100%	100%
SBDC	807,200	0	807,200	807,200	0	807,200	0	100%	100%
Kenai	6,595,100	0	6,595,100	6,595,100	0	6,595,100	0	100%	100%
Kodiak	2,755,200	0	2,755,200	2,754,500	700	2,755,200	0	100%	100%
Matanuska-Susitna	4,347,350	0	4,347,350	4,347,350	0	4,347,350	0	100%	100%
Prince William Sound	3,116,000	0	3,116,000	3,116,000	0	3,116,000	0	100%	100%
Total UA Anchorage	119,335,800	0	119,335,800	119,132,700	203,100	119,335,800	0	100%	100%

Expected % is based on 100% General funds received
Projected revenue reflects utility supplemental funds.

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	State IAR								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
Unrestricted funds, excluding auxiliaries									
Anchorage		-	-		-	-	-		
Designated		-	-		-	-	-		
Kenai		-	-		-	-	-		
Kodiak		-	-		-	-	-		
Matanuska-Susitna		-	-		-	-	-		
Designated		-	-		-	-	-		
Prince William Sound		-	-		-	-	-		
Total UA Anchorage	-	0	0	-	-	-	0	0%	0%
Restricted funds									
Anchorage	5,215,800	0	5,215,800	2,020,023	1,010,011	3,030,034	2,185,766	39%	58%
Kenai	480,800	0	480,800	124,794	62,397	187,191	293,609	26%	58%
Kodiak	321,500	0	321,500	49,048	24,524	73,571	247,929	15%	58%
Matanuska-Susitna	113,300	0	113,300	0	0	0	113,300	0%	58%
Prince William Sound	202,800	0	202,800	61,208	30,604	91,812	110,988	30%	58%
Total UA Anchorage	6,334,200	-	6,334,200	2,255,072	1,127,536	3,382,609	2,951,591	36%	58%
Total current funds, excluding auxiliaries									
Anchorage	5,215,800	0	5,215,800	2,020,023	1,010,011	3,030,034	2,185,766	39%	58%
Kenai	480,800	0	480,800	124,794	62,397	187,191	293,609	26%	58%
Kodiak	321,500	0	321,500	49,048	24,524	73,571	247,929	15%	58%
Matanuska-Susitna	113,300	0	113,300	0	0	0	113,300	0%	58%
Prince William Sound	202,800	0	202,800	61,208	30,604	91,812	110,988	30%	58%
Total UA Anchorage	6,334,200	-	6,334,200	2,255,072	1,127,536	3,382,609	2,951,591	36%	58%

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

General Funds Mental Health (Account Code 9223)									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	200,800		200,800	200,800		200,800	0	100%	100%
Designated	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Designated	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	200,800	0	200,800	200,800	0	200,800	0	100%	100%
<u>Restricted funds</u>									
Anchorage	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	200,800	0	200,800	200,800	0	200,800	0	100%	100%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	200,800	0	200,800	200,800	0	200,800	0	100%	100%

General funds % based on 100% received

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

General Funds Mental Health (Acct Code 9224)									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	1,218,000		1,218,000	1,218,000		1,218,000	0	100%	100%
Designated	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Designated	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	1,218,000	0	1,218,000	1,218,000	0	1,218,000	0	100%	100%
<u>Restricted funds</u>									
Anchorage	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	1,218,000	0	1,218,000	1,218,000	0	1,218,000	0	100%	100%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	1,218,000	0	1,218,000	1,218,000	0	1,218,000	0	100%	100%

Expected % is based on 100% General funds received

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

General Funds SB137								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	
<u>Unrestricted funds, excluding auxiliaries</u>								Expected %
Anchorage	1,251,498		1,251,498	1,251,498		1,251,498	0	100%
Designated	0		0			0	0	0%
Kenai	135,000		135,000	135,000		135,000	0	100%
Kodiak	63,440		63,440	63,440		63,440	0	
Matanuska-Susitna	140,000		140,000	140,000		140,000	0	100%
Designated	0		0			0	0	0%
Prince William Sound	92,362		92,362	92,362		92,362	0	100%
Total UA Anchorage	1,682,300	0	1,682,300	1,682,300	0	1,682,300	0	100%
<u>Restricted funds</u>								
Anchorage	0		0			0	0	0%
Kenai	0		0			0	0	0%
Kodiak	0		0			0	0	0%
Matanuska-Susitna	0		0			0	0	0%
Prince William Sound	0		0			0	0	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%
<u>Total current funds, excluding auxiliaries</u>								
Anchorage	1,251,498	0	1,251,498	1,251,498	0	1,251,498	0	100%
Kenai	135,000	0	135,000	135,000	0	135,000	0	100%
Kodiak	63,440	0	63,440	63,440	0	63,440	0	100%
Matanuska-Susitna	140,000	0	140,000	140,000	0	140,000	0	100%
Prince William Sound	92,362	0	92,362	92,362	0	92,362	0	100%
Total UA Anchorage	1,682,300	0	1,682,300	1,682,300	0	1,682,300	0	100%

Expected % is based on 100% General funds received

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	University Center Debt Reimbursement								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	1,019,113		1,019,113	1,019,113		1,019,113	0	100%	100%
Designated	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Designated	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	1,019,113	0	1,019,113	1,019,113	0	1,019,113	0	100%	100%
<u>Restricted funds</u>									
Anchorage	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	1,019,113	0	1,019,113	1,019,113	0	1,019,113	0	100%	100%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	1,019,113	0	1,019,113	1,019,113	0	1,019,113	0	100%	100%

Expected % is based on 100% General funds received

**University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010**

Tuition & Fees									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	52,225,200	0	52,225,200	54,099,850	4,453,458	58,553,308	(6,328,108) a)	104%	83%
Kenai	2,672,500	0	2,672,500	4,013,880	170,727	4,184,607	(1,512,107) a)	150%	83%
Kodiak	564,700	0	564,700	572,885	2,100	574,985	(10,285) a)	101%	83%
Matanuska-Susitna	2,928,600	0	2,928,600	3,678,962	180,398	3,859,360	(930,760) a)	126%	83%
Prince William Sound	896,400	0	896,400	643,446	68,301	711,747	184,654	72%	83%
Total UA Anchorage	59,287,400	0	59,287,400	63,009,021	4,874,984	67,884,005	(8,596,605)	106%	83%
<u>Restricted funds</u>									
Anchorage	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0	0	0	0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	52,225,200	0	52,225,200	54,099,850	4,453,458	58,553,308	(6,328,108)	104%	83%
Kenai	2,672,500	0	2,672,500	4,013,880	170,727	4,184,607	(1,512,107)	150%	83%
Kodiak	564,700	0	564,700	572,885	2,100	574,985	(10,284)	101%	83%
Matanuska-Susitna	2,928,600	0	2,928,600	3,678,962	180,398	3,859,360	(930,760)	126%	83%
Prince William Sound	896,400	0	896,400	643,446	68,301	711,747	184,654	72%	83%
Total UA Anchorage	59,287,400	0	59,287,400	63,009,021	4,874,984	67,884,005	(8,596,605)	106%	83%

a) Sufficient authority will exist after tuition allowance JV is processed.

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

Federal Receipts									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	0		0			0	0	0%	0%
Designated	0		0			0	0	0%	0%
Kenai	0		0			0	0	0%	0%
Kodiak	0		0			0	0	0%	0%
Matanuska-Susitna	0		0			0	0	0%	0%
Designated	0		0			0	0	0%	0%
Prince William Sound	0		0			0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Restricted funds</u>									
Anchorage	22,371,649	0	22,371,649	18,575,077	9,287,538	27,862,615	(5,490,966) a)	83%	83%
Kenai	409,300	0	409,300	217,425	108,713	326,138	83,162	53%	83%
Kodiak	273,300	0	273,300	0	0	0	273,300	0%	83%
Matanuska-Susitna	250,000	0	250,000	0	0	0	250,000	0%	83%
Prince William Sound	816,600	0	816,600	503,726	251,863	755,590	61,010	62%	83%
Total UA Anchorage	24,120,849	0	24,120,849	19,296,228	9,648,114	28,944,343	(4,823,494)	80%	83%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	22,371,649	0	22,371,649	18,575,077	9,287,538	27,862,615	(5,490,966)	83%	0%
Kenai	409,300	0	409,300	217,425	108,713	326,138	83,162	53%	0%
Kodiak	273,300	0	273,300	0	0	0	273,300	0%	0%
Matanuska-Susitna	250,000	0	250,000	0	0	0	250,000	0%	0%
Prince William Sound	816,600	0	816,600	503,726	251,863	755,590	61,010	62%	0%
Total UA Anchorage	24,120,849	0	24,120,849	19,296,228	9,648,114	28,944,343	(4,823,494)	80%	0%

a) Need to request additional federal receipt authority.

a) Budget includes federal stimulus authority of \$859,149.

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

Intra Agency Receipts									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	11,664,450	0	11,664,450	4,244,254	2,728,484	6,972,738	4,691,712	36%	83%
Designated	0		0			0	0	0%	83%
Kenai	41,700	0	41,700	15,600	0	15,600	26,100	37%	83%
Kodiak	8,300	0	8,300	0	0	0	8,300	0%	83%
Matanuska-Susitna	48,350	0	48,350	41,252	3,550	44,802	3,548	85%	83%
Designated	0		0	0	0	0	0	0%	83%
Prince William Sound	371,300	0	371,300	162,272	123,666	285,938	85,362	44%	83%
Designated	0		0			0	0		
Total UA Anchorage	12,134,100	0	12,134,100	4,463,378	2,855,700	7,319,078	4,815,022	37%	83%
<u>Restricted funds</u>									
Anchorage	0		0	0	0	0	0	0%	83%
Kenai	38,200		38,200	0	0	0	38,200	0%	83%
Kodiak	0		0	0	0	0	0		83%
Matanuska-Susitna	0		0	0	0	0	0		83%
Prince William Sound	0		0	0	0	0	0		83%
Total UA Anchorage	38,200	0	38,200	0	0	0	38,200		83%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	11,664,450	0	11,664,450	4,244,254	2,728,484	6,972,738	4,691,712	36%	83%
Kenai	79,900	0	79,900	15,600	0	15,600	64,300	20%	83%
Kodiak	8,300	0	8,300	0	0	0	8,300	0%	83%
Matanuska-Susitna	48,350	0	48,350	41,252	3,550	44,802	3,548	85%	83%
Prince William Sound	371,300	0	371,300	162,272	123,666	285,938	85,362	44%	83%
Total UA Anchorage	12,172,300	0	12,172,300	4,463,378	2,855,700	7,319,078	4,853,222	37%	83%

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	Interest Income								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	208,300	0	208,300	15,208	6,493	21,701	186,599	7%	83%
Designated	0	0	0	0	0	0	0	0%	0%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Designated	0		0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	208,300	0	208,300	15,208	6,493	21,701	186,599	0%	0%
<u>Restricted funds</u>									
Anchorage	60,700		60,700	1,000	500	1,499	59,201	2%	83%
Kenai	0		0			0	0	0%	83%
Kodiak	0		0			0	0	0%	83%
Matanuska-Susitna	0		0			0	0	0%	83%
Prince William Sound	0		0			0	0	0%	83%
Total UA Anchorage	60,700	0	60,700	1,000	500	1,499	59,201	2%	83%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	269,000	0	269,000	16,208	6,993	23,200	245,800	6%	0%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	269,000	0	269,000	16,208	6,993	23,200	245,800	6%	0%

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	Indirect Cost								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	3,882,400	0	3,802,400	1,608,835	1,288,100	2,896,935	905,465	42%	83%
SBDC	80,000	0	80,000	13,904	66,096	80,000	(0)	17%	83%
Kenai	60,500	0	60,500	11,794	14,407	26,201	34,299	19%	83%
Kodiak	27,700	0	27,700	5,132	2,500	7,632	20,068	19%	83%
Matanuska-Susitna	16,500	0	16,500	3,283	500	3,783	12,717	20%	83%
Prince William Sound	81,900	0	81,900	5,201	9,799	15,000	66,900	6%	83%
Total UA Anchorage	4,149,000	0	4,069,000	1,648,150	1,381,402	3,029,552	1,039,448	41%	83%
<u>Restricted funds</u>									
Anchorage	0	0	0	0	0	0	0	0%	0%
Kenai	0	0	0	0	0	0	0	0%	0%
Kodiak	0	0	0	0	0	0	0	0%	0%
Matanuska-Susitna	0	0	0	0	0	0	0	0%	0%
Prince William Sound	0	0	0	0	0	0	0	0%	0%
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	3,882,400	0	3,802,400	1,608,835	1,288,100	2,896,935	905,465	42%	83%
SBDC	80,000	0	80,000	13,904	66,096	80,000	(0)	17%	83%
Kenai	60,500	0	60,500	11,794	14,407	26,201	34,299	19%	83%
Kodiak	27,700	0	27,700	5,132	2,500	7,632	20,068	19%	83%
Matanuska-Susitna	16,500	0	16,500	3,283	500	3,783	12,717	20%	83%
Prince William Sound	81,900	0	81,900	5,201	9,799	15,000	66,900	6%	83%
Total UA Anchorage	4,149,000	0	4,069,000	1,648,150	1,381,402	3,029,552	1,039,448	41%	83%

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	University Receipts							Actual %	Expected %
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under		
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	8,840,309	0	8,840,309	12,408,920	2,077,719	14,486,639	(5,646,330) a)	140%	83%
Designated	2,000,000		2,000,000	2,045,313	0	2,045,313	(45,313) b)	102%	83%
Kenai	497,300	0	497,300	197,965	70,500	268,465	228,835	40%	83%
Kodiak	200,600	0	200,600	185,262	200	185,462	15,138	92%	83%
Matanuska-Susitna	288,478	0	288,478	311,725	10,530	322,255	(33,777) a)	108%	83%
Designated	0		0	0	0	0	0	0%	83%
Prince William Sound	1,333,700	0	1,333,700	1,006,085	209,368	1,215,453	118,247	75%	83%
Designated			0			0	0		83%
Total UA Anchorage	13,160,387	0	13,160,387	16,155,271	2,368,317	18,523,588	(5,363,201)	123%	83%
<u>Restricted funds</u>									
Anchorage	8,322,400	0	8,322,400	3,165,820	633,164	3,798,984	4,523,416	38%	83%
Kenai	665,300	0	665,300	363,891	259,922	623,812	41,488	55%	83%
Kodiak	76,200	0	76,200	2,162	1,081	3,244	72,956	3%	83%
Matanuska-Susitna	1,127,813	0	1,127,813	67,479	33,739	101,218	1,026,595	6%	83%
Prince William Sound	350,700	0	350,700	(350)	(175)	(525)	351,225	0%	83%
Total UA Anchorage	10,542,413	0	10,542,413	3,599,002	927,731	4,526,733	6,015,680	34%	83%
Anchorage	19,162,709	0	19,162,709	17,620,054	2,710,883	20,330,937	(1,168,228)	92%	83%
Kenai	1,162,600	0	1,162,600	561,856	330,422	892,278	270,322	48%	83%
Kodiak	276,800	0	276,800	187,425	1,281	188,706	88,094	68%	83%
Matanuska-Susitna	1,416,291	0	1,416,291	379,204	44,269	423,473	992,818	27%	83%
Prince William Sound	1,684,400	0	1,684,400	1,005,735	209,193	1,214,928	469,472	60%	83%
Total UA Anchorage	23,702,800	0	23,702,800	19,754,273	3,296,048	23,050,321	652,479	83%	83%

a) Sufficient authority will be available when prior year carryforward is removed.

b) Budget authority will be transferred to cover deficit balance.

c) Will work with Statewide to cover authority.

University of Alaska Anchorage
Revenue Detail by Allocation
Projected at January 31, 2010

	CIP Receipts								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue**	(Over) Under	Actual %	Expected %
<u>Unrestricted funds, excluding auxiliaries</u>									
Anchorage	1,698,200	0	1,698,200	387,656	0	387,656	1,310,544	23%	83%
Designated									
Kenai									
Kodiak									
Matanuska-Susitna									
Designated									
Prince William Sound									
Total UA Anchorage	1,698,200	0	1,698,200	387,656	0	387,656	1,310,544	23%	83%
<u>Restricted funds</u>									
Anchorage									
Kenai									
Kodiak									
Matanuska-Susitna									
Prince William Sound									
Total UA Anchorage	0	0	0	0	0	0	0	0%	0%
<u>Total current funds, excluding auxiliaries</u>									
Anchorage	1,698,200	0	1,698,200	387,656	0	387,656	1,310,544	23%	83%
Kenai	-	-	-	-	-	-	-		
Kodiak	-	-	-	-	-	-	-		
Matanuska-Susitna	-	-	-	-	-	-	-		
Prince William Sound	-	-	-	-	-	-	-		
Total UA Anchorage	1,698,200	0	1,698,200	387,656	0	387,656	1,310,544	23%	83%



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Administrative Services
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MEMORANDUM

DATE: 3/15/10
TO: Karin Baldwin, Manager Statewide Fund Accounting
FROM: Pat Pitney, Vice Chancellor for Administrative Services
RE: UAF Management Report for January 2010

The January 2010 management report for the University of Alaska Fairbanks is attached. The report is presented at the appropriation/allocation levels and includes the following:

- Revenue Detail by Source
- Auxiliary Activity
- Revenue and Expenditure Summaries for Unrestricted and Designated Funds
- Revenue and Expenditure Summaries for Restricted Funds.

Unrestricted Funds:

- 1) Indirect Cost Recovery revenue was projected to be flat in FY10 (\$22.6M), however, we currently anticipate that ICR will increase 8% from last year for a total of \$24M.

In FY10, Student Tuition and Fees are projected to increase 8.1% (or \$2.6M) over the FY09 total of \$31.9M. This is due to a 5% tuition increase and increased student enrollments. The FY10 tuition and fee revenue projection of \$34.5M includes the anticipated tuition allowance of <\$3.9M>. Increase in tuition revenue in the Rural College allocation from UALC/Cross Regional tuition may necessitate a request for additional receipt authority in FY10.

Total unrestricted University Receipts for Fairbanks Campus is expected to fall within its receipt authority once the FY09 carry forward is removed.

The Fairbanks Campus appropriation is expected to need an additional \$10M in UA Intra-Agency Receipt authority. Due to a change in accounting procedures, Fairbanks Campus is now paying

its \$10.5M utility bill as an expense to Fairbanks Campus and revenue to the Utility Recharge center. This change allows the Utility Recharge Center to bill the Fairbanks Campus using the same process as other users of its utilities. If Fairbanks Campus is unable to receive the additional receipt authority, we will reverse the current transaction and use a cost “transfer” as in previous years.

- 2) UAF is currently projecting an unrestricted (F1) carry forward of \$4.9M (2.1% of projected revenue) and \$8.4M for service center/leasing funds (F7, FE, FL). Based on the initial carry forward projections, UAF has suspended the maximum carry forward guideline as stated in the carry forward principles. This will allow departments to strategically use existing funds in anticipation of FY11 needs. Individual units projecting deficits have been identified and are actively working to mitigate these deficits in the current fiscal year.
- 3) With the exception of temporarily suspending the maximum carry forward guidelines for FY10, UAF is unaware of other transactions or circumstances that will have a significant impact on fiscal operations.

Auxiliary Funds:

- 4) Projections for auxiliary services suggest that the overall fund balance will decrease by \$1.6M primarily due to planned renovations in the residence halls. The UAF Bookstore is projecting to break-even in FY10 due changes in Bookstore operations. UAF Parking Services is projecting a \$130K operating deficit this year. Parking is looking at changes to the current fee structure to address operating shortfalls. UA Press is projecting a \$216K fiscal year deficit; however, additional support from the UA Foundation is expected to help fund operations. The Rural College Bookstore is projecting a deficit of \$316.5K due to book purchases made this fiscal year. The overall fund balance is expected to be a positive \$190K.

Restricted Funds:

- 5) Units are optimistically projecting a 19% increase in Federal Receipts (\$105M) over last year's \$88.5M total. However, the current rate of restricted fund expenditure suggests that restricted fund activity will be closer to \$91.3M or a 3.2% increase over FY09. UAF has sufficient budget authority to support this level of activity. The Federal Receipt projection shown for Bristol Bay Campus is overstated by \$1.9M. School of Fisheries & Ocean Sciences has inadvertently shown \$4.4M in projected restricted University Receipts in the Fairbanks Campus allocation instead of Fairbanks Organized Research allocation. With the projected revenue applied to the correct allocation, we anticipate that restricted University Receipts will be within the authorized levels for both the Fairbanks Campus and Organized Research allocations. We will continue to work with unit fiscal managers to increase the accuracy of mid-year restricted fund projections.

Deficit balances in the restricted funds, when they exist, are covered with unrestricted revenues.

**University of Alaska Fairbanks Campus & Organized Research Allocations
Revenue & Expenditures Summary - Unrestricted & Designated Funds Only
Projected at January 31, 2010**

Allocation Desc	Fund Group	Fund Type	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue 1/31/10	Projected Revenue 6/30/10	Actual Expenditures & Encum 1/31/10	Projected Expenditures 6/30/10	Projected Revenue (Over) Under Budget	Projected Expenditures (Over) Under Budget	Projected Gain (Deficit)	Expenditures & Encumb Actual %	Expected %
Fairbanks Campus Allocation	Unrestricted	F1	149,870,140	-3,514,987	146,355,153	143,221,371	151,704,236	97,133,372	149,627,641	-5,349,083	-3,272,488	2,076,595	66.37%	58.33%
		F7	37,761,934	573,426	38,335,360	28,269,976	39,234,215	23,451,722	35,817,065	-898,855	2,518,295	3,417,150	61.18%	58.33%
		FL	3,709,226	58,000	3,767,226	6,967,227	8,058,453	2,148,678	3,428,955	-4,291,227	338,271	4,629,498	57.04%	58.33%
	Designated	FD	1,000,000	0	1,000,000	1,457,750	1,467,375	1,457,750	1,467,375	(a) -467,375	(a) -467,375	0	145.78%	58.33%
Fairbanks Campus Allocation Total			192,341,300	-2,883,561	189,457,739	179,916,324	200,464,278	124,191,521	190,341,035	-11,006,539	-883,296	10,123,243	65.55%	58.33%
UAF Organized Research Allocation	Unrestricted	F1	38,306,520	2,378,966	40,685,486	33,312,546	39,373,364	24,144,876	37,621,710	1,312,122	3,063,776	1,751,654	59.35%	58.33%
		F7	2,999,028	-557,886	2,441,142	1,145,572	1,752,196	1,048,503	1,829,570	688,946	611,572	-77,373	42.95%	58.33%
		FE	2,265,052	957,886	3,222,938	1,190,289	1,917,254	981,557	1,534,405	1,305,684	1,688,533	382,849	30.46%	58.33%
	Designated	FD	65,000	0	65,000	26,579	8,220	26,579	8,220	56,780	56,780	0	40.89%	58.33%
UAF Organized Research Allocation Total			43,635,600	2,778,966	46,414,566	35,674,986	43,051,034	26,201,515	40,993,905	3,363,532	5,420,661	2,057,130	56.45%	58.33%
F1 Sum			188,176,660	-1,136,021	187,040,639	176,533,917	191,077,600	121,278,248	187,249,351	-4,036,961	-208,712	3,828,249	64.84%	58.33%
F7 Sum			40,760,962	15,540	40,776,502	29,415,549	40,986,411	24,500,225	37,646,635	-209,909	3,129,867	3,339,776	60.08%	58.33%
FD Sum			1,065,000	0	1,065,000	1,484,329	1,475,595	1,484,329	1,475,595	-410,595	-410,595	0	139.37%	58.33%
FE Sum			2,265,052	957,886	3,222,938	1,190,289	1,917,254	981,557	1,534,405	1,305,684	1,688,533	382,849	30.46%	58.33%
FL Sum			3,709,226	58,000	3,767,226	6,967,227	8,058,453	2,148,678	3,428,955	-4,291,227	338,271	4,629,498	57.04%	58.33%
Grand Total			235,976,900	-104,595	235,872,305	215,591,311	243,515,313	150,393,037	231,334,940	-7,643,008	4,537,365	12,180,372	63.76%	58.33%

Note: (a) Designated funds showing projected revenue/expenditures over budget due to \$600,000 budget revision error. Designated funds projected revenue/expenditures \$132,625 under budge

University of Alaska Fairbanks Community Colleges Allocations
Revenue & Expenditures Summary - Unrestricted & Designated Funds Only
Projected at January 31, 2010

Allocation Desc	Fund Group	Fund Type	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue 1/31/10	Projected Revenue 6/30/10	Actual Expenditures & Encum 1/31/10	Projected Expenditures 6/30/10	Projected Revenue (Over) Under Budget	Projected Expenditures (Over) Under Budget	Projected Gain (Deficit)	Expenditures & Encumb Actual %	Expected %
Bristol Bay Campus Allocation	Unrestricted	F1	1,907,500	44,984	1,952,484	1,664,106	1,847,184	1,125,560	1,847,136	105,300	105,348	48	57.65%	58.33%
Bristol Bay Campus Allocation Total			1,907,500	44,984	1,952,484	1,664,106	1,847,184	1,125,560	1,847,136	105,300	105,348	48	57.65%	58.33%
Chukchi Campus Allocation	Unrestricted	F1	1,128,500	359,440	1,487,940	1,271,841	1,418,635	723,894	1,418,597	69,305	69,343	38	48.65%	58.33%
Chukchi Campus Allocation Total			1,128,500	359,440	1,487,940	1,271,841	1,418,635	723,894	1,418,597	69,305	69,343	38	48.65%	58.33%
Co-op Extension Svcs Allocation	Designated	FD	400,000	0	400,000	220,528	407,118	220,958	407,118	(a) -7,118	(a) -7,118	0	55.24%	58.33%
	Unrestricted	F1	5,470,000	-18,217	5,451,783	4,587,681	4,628,181	2,633,549	4,382,781	823,602	1,069,002	245,399	48.31%	58.33%
Co-op Extension Svcs Allocation Total			5,870,000	-18,217	5,851,783	4,808,208	5,035,298	2,854,507	4,789,899	816,485	1,061,884	245,399	48.78%	58.33%
Interior Campus Allocation	Unrestricted	F1	2,436,300	698,767	3,135,067	2,720,135	2,905,548	1,722,705	2,894,268	229,519	240,799	11,280	54.95%	58.33%
Interior Campus Allocation Total			2,436,300	698,767	3,135,067	2,720,135	2,905,548	1,722,705	2,894,268	229,519	240,799	11,280	54.95%	58.33%
Kuskokwim Campus Allocation	Unrestricted	F1	3,870,100	140,972	4,011,072	3,474,132	3,586,984	2,515,253	3,687,449	424,088	323,623	-100,465	62.71%	58.33%
Kuskokwim Campus Allocation Total			3,870,100	140,972	4,011,072	3,474,132	3,586,984	2,515,253	3,687,449	424,088	323,623	-100,465	62.71%	58.33%
Northwest Campus Allocation	Unrestricted	F1	2,039,200	78,646	2,117,846	1,970,434	2,048,311	1,126,436	1,923,913	69,535	193,933	124,398	53.19%	58.33%
Northwest Campus Allocation Total			2,039,200	78,646	2,117,846	1,970,434	2,048,311	1,126,436	1,923,913	69,535	193,933	124,398	53.19%	58.33%
Rural College Allocation	Unrestricted	F1	9,669,200	-91,900	9,577,300	10,528,328	10,204,438	5,680,347	9,785,139	-627,138	-207,839	419,299	59.31%	58.33%
		F7	0	0	0	0	0	0	66,484	0	-66,484	-66,484	n/a	58.33%
Rural College Allocation Total			9,669,200	-91,900	9,577,300	10,528,328	10,204,438	5,680,347	9,851,623	-627,138	-274,323	352,815	59.31%	58.33%
Tanana Valley College Allocation	Unrestricted	F1	11,788,000	-407,027	11,380,973	11,013,198	10,968,497	6,891,597	10,600,673	412,476	780,300	367,824	60.55%	58.33%
Tanana Valley College Allocation Total			11,788,000	-407,027	11,380,973	11,013,198	10,968,497	6,891,597	10,600,673	412,476	780,300	367,824	60.55%	58.33%
		F1 Sum	38,308,800	805,665	39,114,465	37,229,854	37,607,778	22,419,340	36,539,957	1,506,687	2,574,508	1,067,821	57.32%	58.33%
		F7 Sum	0	0	0	0	0	0	66,484	0	-66,484	-66,484	n/a	58.33%
		FD Sum	400,000	0	400,000	220,528	407,118	220,958	407,118	-7,118	-7,118	0	55.24%	58.33%
Grand Total			38,708,800	805,665	39,514,465	37,450,382	38,014,896	22,640,298	37,013,558	1,499,569	2,500,907	1,001,337	57.30%	58.33%

Note: (a) Co-op Extension Svcs Allocation designated funds showing projected revenue/expenditures over budget. Budget revision was done in February

University of Alaska Fairbanks Campus & Organized Research Allocations
Revenue & Expenditures Summary - Restricted Funds Only
Projected at January 31, 2010

Allocation Desc	Fund Group	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue 1/31/10	Projected Revenue 6/30/10	Actual Expenditures & Encum 1/31/10	Projected Expenditures 6/30/10	Projected Revenue (Over) Under Budget	Projected Expenditures (Over) Under Budget	Projected Gain (Deficit)	Expenditures & Encumb Actual %	Expected %
Fairbanks Campus Allocation	Restricted	22,012,500	419,307	22,431,807	15,189,944	26,499,420	17,349,951	24,117,101	-4,067,613	-1,685,294	2,382,318	77.35%	58.33%
UAF Organized Research Allocation	Restricted	94,822,100	-49,419	94,772,681	48,018,847	96,042,376	60,940,027	98,549,798	-1,269,695	-3,777,117	-2,507,423	64.30%	58.33%
Grand Total		116,834,600	369,888	117,204,488	63,208,792	122,541,795	78,289,978	122,666,900	-5,337,307	-5,462,412	-125,104	66.80%	58.33%

Note: Restricted funds budget \$22,000 out of balance revenue to expenditures. Budget revision has been done to correc

University of Alaska Fairbanks Community Colleges Allocations
Revenue & Expenditures Summary - Restricted Funds Only
Projected at January 31, 2010

Allocation Desc	Fund Group	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue 1/31/10	Projected Revenue 6/30/10	Actual Expenditures & Encum 1/31/10	Projected Expenditures 6/30/10	Projected Revenue (Over) Under Budget	Projected Expenditures (Over) Under Budget	Projected Gain (Deficit)	Expenditures & Encumb Actual %	Expected %
Bristol Bay Campus Allocation	Restricted	1,683,700	0	1,683,700	1,034,427	3,736,222	1,121,408	3,746,408	-2,052,522	-2,062,708	-10,186	66.60%	58.33%
Chukchi Campus Allocation	Restricted	926,600	-10,000	916,600	419,648	916,442	585,229	917,413	158	-813	-971	63.85%	58.33%
Co-op Extension Svcs Allocation	Restricted	4,391,000	0	4,391,000	1,561,117	3,051,618	1,741,618	3,051,618	1,339,382	1,339,382	0	39.66%	58.33%
Interior Campus Allocation	Restricted	2,665,100	0	2,665,100	1,402,579	3,387,232	2,195,986	3,396,102	-722,132	-731,002	-8,870	82.40%	58.33%
Kuskokwim Campus Allocation	Restricted	1,935,800	0	1,935,800	1,006,743	1,442,327	1,099,482	1,449,459	493,473	486,341	-7,132	56.80%	58.33%
Northwest Campus Allocation	Restricted	850,500	0	850,500	456,573	915,281	543,133	915,281	-64,781	-64,781	0	63.86%	58.33%
Rural College Allocation	Restricted	2,587,600	0	2,587,600	775,891	2,037,072	942,502	2,037,072	550,528	550,528	0	36.42%	58.33%
Tanana Valley College Allocation	Restricted	923,200	0	923,200	238,052	327,552	238,052	327,552	595,648	595,648	0	25.79%	58.33%
Grand Total		15,963,500	-10,000	15,953,500	6,895,030	15,813,746	8,467,411	15,840,905	139,754	112,595	-27,159	39.66%	58.33%

University of Alaska Fairbanks Campus & Organized Research Allocations
Revenue Detail by Allocation (Excluding Auxiliaries)
Projected at January 31, 2010

SBS Budget Title	Fund Group	Allocation Desc	Sum of Revenue Budget	Sum of Revenue Budget Adj	Sum of Total Rev Budget	Actual Revenue	Projected Additional Revenue	Total Projected Revenue**	Projected Revenue (Over) Under Budget	Actual %	Expected %
CIP Receipts	Unrestricted	Fairbanks Campus Allocation	2,969,000	0	2,969,000	465,790	271,523	737,313	2,231,687	15.69%	58.33%
		UAF Organized Research Allocation	2,220,000	0	2,220,000	1,355,380		1,355,380	864,620	61.05%	58.33%
	Unrestricted Total		5,189,000	0	5,189,000	1,821,169	271,523	2,092,692	3,096,308	35.10%	58.33%
	Restricted	Fairbanks Campus Allocation	0	0	0	0		0	0	n/a	58.33%
		UAF Organized Research Allocation	0	(a) -1,640	(a) -1,640	0		0	(a) -1,640	0.00%	58.33%
	Restricted Total		0	-1,640	-1,640	0		0	-1,640	0.00%	58.33%
CIP Receipts Total			5,189,000	-1,640	5,187,360	1,821,169	271,523	2,092,692	3,094,668	35.11%	58.33%
Dorm, Food & Auxil Serv	Unrestricted	Fairbanks Campus Allocation	0	0	0	45	-45	0	0	n/a	58.33%
	Unrestricted Total		0	0	0	45	-45	0	0	n/a	58.33%
Dorm, Food & Auxil Serv Total			0	0	0	45	-45	0	0	n/a	58.33%
Federal Receipts	Unrestricted	Fairbanks Campus Allocation	72,000	0	72,000	36,000	36,000	72,000	0	50.00%	58.33%
		UAF Organized Research Allocation	0	0	0	20,000		20,000	-20,000	n/a	58.33%
	Unrestricted Total		72,000	0	72,000	56,000	36,000	92,000	-20,000	77.78%	58.33%
	Restricted	Fairbanks Campus Allocation	12,785,700	47,779	12,833,479	9,517,900	3,380,539	12,898,439	-64,960	74.16%	58.33%
		UAF Organized Research Allocation	74,290,200	-47,779	74,242,421	37,314,165	41,847,088	79,161,253	-4,918,832	50.26%	58.33%
	Restricted Total		87,075,900	0	87,075,900	46,832,065	45,227,627	92,059,692	-4,983,792	53.78%	58.33%
Federal Receipts Total			87,147,900	0	87,147,900	46,888,065	45,263,627	92,151,692	-5,003,792	53.80%	58.33%
Federal Stimulus--ARRA2009	Restricted	Fairbanks Campus Allocation	0	376,528	376,528	329,000		329,000	47,528	87.38%	58.33%
	Restricted Total		0	376,528	376,528	329,000		329,000	47,528	87.38%	58.33%
Federal Stimulus--ARRA2009 Total			0	376,528	376,528	329,000		329,000	47,528	87.38%	58.33%
General Fund - Match Appr	Unrestricted	Fairbanks Campus Allocation	430,300	0	430,300	430,300		430,300	0	100.00%	58.33%
		UAF Organized Research Allocation	3,003,200	0	3,003,200	3,003,200		3,003,200	0	100.00%	58.33%
	Unrestricted Total		3,433,500	0	3,433,500	3,433,500		3,433,500	0	100.00%	58.33%
General Fund - Match Appr Total			3,433,500	0	3,433,500	3,433,500		3,433,500	0	100.00%	58.33%
General Fund - State Appr	Unrestricted	Fairbanks Campus Allocation	104,654,300	-810,378	103,843,922	103,884,922	2,196,315	106,081,237	-2,237,315	100.04%	58.33%
		UAF Organized Research Allocation	18,584,700	810,378	19,395,078	19,354,078	59,785	19,413,863	-18,785	99.79%	58.33%
	Unrestricted Total		123,239,000	0	123,239,000	123,239,000	2,256,100	125,495,100	-2,256,100	100.00%	58.33%
General Fund - State Appr Total			123,239,000	0	123,239,000	123,239,000	2,256,100	125,495,100	-2,256,100	100.00%	58.33%
Indirect Cost Recovery	Unrestricted	Fairbanks Campus Allocation	11,553,900	-513,884	11,040,016	5,697,254	4,445,088	10,142,342	897,674	51.61%	58.33%
		UAF Organized Research Allocation	13,556,600	520,684	14,077,284	7,678,573	5,787,763	13,466,336	610,948	54.55%	58.33%
	Unrestricted Total		25,110,500	6,800	25,117,300	13,375,828	10,232,851	23,608,678	1,508,622	53.25%	58.33%
Indirect Cost Recovery Total			25,110,500	6,800	25,117,300	13,375,828	10,232,851	23,608,678	1,508,622	53.25%	58.33%
Inter-Agency Receipts	Unrestricted	Fairbanks Campus Allocation	0	5,000	5,000	4,999		4,999	1	99.98%	58.33%
	Unrestricted Total		0	5,000	5,000	4,999		4,999	1	99.98%	58.33%
	Restricted	Fairbanks Campus Allocation	1,226,800	-5,000	1,221,800	614,351	253,158	867,509	354,291	50.28%	58.33%
		UAF Organized Research Allocation	2,350,400	0	2,350,400	997,386	1,174,208	2,171,594	178,806	42.43%	58.33%
	Restricted Total		3,577,200	-5,000	3,572,200	1,611,737	1,427,366	3,039,103	533,097	45.12%	58.33%
Inter-Agency Receipts Total			3,577,200	0	3,577,200	1,616,736	1,427,366	3,044,102	533,098	45.20%	58.33%
Interest Income	Unrestricted	Fairbanks Campus Allocation	365,100	0	365,100	-768	-915	-1,683	366,783	-0.21%	58.33%
	Unrestricted Total		365,100	0	365,100	-768	-915	-1,683	366,783	-0.21%	58.33%
Interest Income Total			365,100	0	365,100	-768	-915	-1,683	366,783	-0.21%	58.33%
Mental Hlth Trust Auth Receipts	Unrestricted	Fairbanks Campus Allocation	25,000	0	25,000	25,000		25,000	0	100.00%	58.33%
	Unrestricted Total		25,000	0	25,000	25,000		25,000	0	100.00%	58.33%
Mental Hlth Trust Auth Receipts Total			25,000	0	25,000	25,000		25,000	0	100.00%	58.33%
Student Tuition/Fees/Serv	Unrestricted	Fairbanks Campus Allocation	29,198,800	-203,613	28,995,187	25,959,766	-1,645,630	24,314,137	4,681,050	89.53%	58.33%
		UAF Organized Research Allocation	0	3,613	3,613	0		0	3,613	0.00%	58.33%
	Unrestricted Total		29,198,800	-200,000	28,998,800	25,959,766	-1,645,630	24,314,137	4,684,663	89.52%	58.33%
Student Tuition/Fees/Serv Total			29,198,800	-200,000	28,998,800	25,959,766	-1,645,630	24,314,137	4,684,663	89.52%	58.33%

University of Alaska Fairbanks Campus & Organized Research Allocations
Revenue Detail by Allocation (Excluding Auxiliaries)
Projected at January 31, 2010

SBS Budget Title	Fund Group	Allocation Desc	Sum of Revenue Budget	Sum of Revenue Budget Adj	Sum of Total Rev Budget	Actual Revenue	Projected Additional Revenue	Total Projected Revenue**	Projected Revenue (Over) Under Budget	Actual %	Expected %
Tech Voc Educ Progr Other	Unrestricted	Fairbanks Campus Allocation	341,900	0	341,900	341,900		341,900	0	100.00%	58.33%
	Unrestricted Total		341,900	0	341,900	341,900		341,900	0	100.00%	58.33%
Tech Voc Educ Progr Other Total			341,900	0	341,900	341,900		341,900	0	100.00%	58.33%
U of A Receipts	Designated	Fairbanks Campus Allocation	1,000,000	0	1,000,000	1,457,750	9,625	1,467,375	-467,375	145.78%	58.33%
		UAF Organized Research Allocation	65,000	0	65,000	26,579	-18,359	8,220	56,780	40.89%	58.33%
	Designated Total		1,065,000	0	1,065,000	1,484,329	-8,734	1,475,595	-410,595	139.37%	58.33%
	Unrestricted	Fairbanks Campus Allocation	15,050,500	-1,439,171	13,611,329	17,117,771	3,473,031	20,590,802	-6,979,473	125.76%	58.33%
		UAF Organized Research Allocation	2,460,100	1,437,776	3,897,876	2,474,735	654,150	3,128,886	768,990	63.49%	58.33%
	Unrestricted Total		17,510,600	-1,395	17,509,205	19,592,507	4,127,181	23,719,688	-6,210,483	111.90%	58.33%
	Restricted	Fairbanks Campus Allocation	8,000,000	0	8,000,000	4,727,714	7,675,778	12,403,492	-4,403,492	59.10%	58.33%
		UAF Organized Research Allocation	18,181,500	0	18,181,500	9,707,196	5,002,233	14,709,429	3,472,071	53.39%	58.33%
	Restricted Total		26,181,500	0	26,181,500	14,434,910	12,678,011	27,112,921	-931,421	55.13%	58.33%
U of A Receipts Total			44,757,100	-1,395	44,755,705	35,511,746	16,796,458	52,308,204	-7,552,499	79.35%	58.33%
UA Intra-Agency Transfers	Unrestricted	Fairbanks Campus Allocation	26,680,500	78,485	26,758,985	24,495,594	11,762,962	36,258,556	-9,499,571	91.54%	58.33%
		UAF Organized Research Allocation	3,746,000	6,515	3,752,515	1,762,441	892,709	2,655,150	1,097,365	46.97%	58.33%
	Unrestricted Total		30,426,500	85,000	30,511,500	26,258,035	12,655,671	38,913,706	-8,402,206	86.06%	58.33%
	Restricted	Fairbanks Campus Allocation	0	0	0	979		979	-979	n/a	58.33%
		UAF Organized Research Allocation	0	0	0	100		100	-100	n/a	58.33%
	Restricted Total		0	0	0	1,079		1,079	-1,079	n/a	58.33%
UA Intra-Agency Transfers Total			30,426,500	85,000	30,511,500	26,259,114	12,655,671	38,914,785	-8,403,285	86.06%	58.33%
Grand Total			352,811,500	265,293	353,076,793	278,800,102	87,257,006	366,057,108	-12,980,315	78.96%	58.33%

Notes: (a) Budget revision done to correct revenue type, should be inter-agency receipts.

Total revenue in unrestricted funds includes carryforward revenue from prior year. After prior year carryforward is subtracted from current year revenue, actual revenue will be below authorized budget for total current funds.

University of Alaska Fairbanks Community Colleges Allocations
Revenue Detail by Allocation (Excluding Auxiliaries)
Projected at January 31, 2010

SBS Budget Title	Fund Group	Allocation Desc	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue	Projected Additional Revenue	Total Projected Revenue**	Projected Revenue (Over) Under Budget	Actual %	Expected %
Dorm, Food & Auxil Serv	Unrestricted	Bristol Bay Campus Allocation	0	0	0	475	-475	0	0	n/a	58.33%
		Kuskokwim Campus Allocation	0	0	0	791	-791	0	0	n/a	58.33%
	Unrestricted Total		0	0	0	1,266	-1,266	0	0	n/a	58.33%
Dorm, Food & Auxil Serv Total			0	0	0	1,266	-1,266	0	0	n/a	58.33%
Federal Receipts	Restricted	Bristol Bay Campus Allocation	1,401,100	0	1,401,100	924,664	2,417,515	3,342,179	-1,941,079	66.00%	58.33%
		Chukchi Campus Allocation	800,900	0	800,900	414,925	385,835	800,760	140	51.81%	58.33%
		Co-op Extension Svcs Allocation	3,530,100	0	3,530,100	1,146,721	1,185,001	2,331,722	1,198,378	32.48%	58.33%
		Interior Campus Allocation	2,393,500	0	2,393,500	1,237,919	1,870,739	3,108,658	-715,158	51.72%	58.33%
		Kuskokwim Campus Allocation	1,414,900	0	1,414,900	809,941	323,846	1,133,787	281,113	57.24%	58.33%
		Northwest Campus Allocation	840,500	0	840,500	456,573	458,708	915,281	-74,781	54.32%	58.33%
		Rural College Allocation	1,509,200	0	1,509,200	474,718	753,557	1,228,275	280,925	31.45%	58.33%
		Tanana Valley College Allocation	688,500	0	688,500	113,593		113,593	574,907	16.50%	58.33%
	Restricted Total		12,578,700	0	12,578,700	5,579,055	7,395,202	12,974,257	-395,557	44.35%	58.33%
Federal Receipts Total			12,578,700	0	12,578,700	5,579,055	7,395,202	12,974,257	-395,557	44.35%	58.33%
General Fund - Match Appr	Unrestricted	Co-op Extension Svcs Allocation	1,305,800	0	1,305,800	1,305,800		1,305,800	0	100.00%	58.33%
	Unrestricted Total		1,305,800	0	1,305,800	1,305,800		1,305,800	0	100.00%	58.33%
General Fund - Match Appr Total			1,305,800	0	1,305,800	1,305,800		1,305,800	0	100.00%	58.33%
General Fund - State Appr	Unrestricted	Bristol Bay Campus Allocation	1,349,400	-52,981	1,296,419	1,365,793	-69,374	1,296,419	0	105.35%	58.33%
		Chukchi Campus Allocation	948,700	28,240	976,940	907,566	55,074	962,640	14,300	92.90%	58.33%
		Co-op Extension Svcs Allocation	3,044,100	-18,217	3,025,883	3,025,883		3,025,883	0	100.00%	58.33%
		Interior Campus Allocation	1,714,500	28,700	1,743,200	1,743,200		1,743,200	0	100.00%	58.33%
		Kuskokwim Campus Allocation	2,893,400	26,200	2,919,600	2,919,600		2,919,600	0	100.00%	58.33%
		Northwest Campus Allocation	1,708,700	24,500	1,733,200	1,733,200		1,733,200	0	100.00%	58.33%
		Rural College Allocation	5,403,200	100,390	5,503,590	5,503,590	58,800	5,562,390	-58,800	100.00%	58.33%
		Tanana Valley College Allocation	5,880,200	-136,832	5,743,368	5,743,368	-44,500	5,698,868	44,500	100.00%	58.33%
	Unrestricted Total		22,942,200	0	22,942,200	22,942,200	0	22,942,200	0	100.00%	58.33%
General Fund - State Appr Total			22,942,200	0	22,942,200	22,942,200	0	22,942,200	0	100.00%	58.33%
Indirect Cost Recovery	Unrestricted	Bristol Bay Campus Allocation	130,000	0	130,000	59,557	60,443	120,000	10,000	45.81%	58.33%
		Chukchi Campus Allocation	38,800	0	38,800	3,530	2,520	6,050	32,750	9.10%	58.33%
		Co-op Extension Svcs Allocation	350,900	0	350,900	87,106		87,106	263,794	24.82%	58.33%
		Interior Campus Allocation	157,600	0	157,600	97,103	25,000	122,103	35,497	61.61%	58.33%
		Kuskokwim Campus Allocation	135,600	0	135,600	48,177	38,852	87,029	48,571	35.53%	58.33%
		Northwest Campus Allocation	27,800	0	27,800	7,746	2,254	10,000	17,800	27.86%	58.33%
		Rural College Allocation	126,200	3,200	129,400	38,181	27,270	65,451	63,949	29.51%	58.33%
		Tanana Valley College Allocation	10,000	0	10,000	0		0	10,000	n/a	58.33%
	Unrestricted Total		976,900	3,200	980,100	341,399	156,339	497,738	482,362	34.83%	58.33%
Indirect Cost Recovery Total			976,900	3,200	980,100	341,399	156,339	497,738	482,362	34.83%	58.33%

University of Alaska Fairbanks Community Colleges Allocations
Revenue Detail by Allocation (Excluding Auxiliaries)
Projected at January 31, 2010

SBS Budget Title	Fund Group	Allocation Desc	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue	Projected Additional Revenue	Total Projected Revenue**	Projected Revenue (Over) Under Budget	Actual %	Expected %
Inter-Agency Receipts	Unrestricted	Tanana Valley College Allocation	0	0	0	2,000		2,000	-2,000	n/a	58.33%
	Unrestricted Total		0	0	0	2,000		2,000	-2,000	n/a	58.33%
	Restricted	Bristol Bay Campus Allocation	212,600	0	212,600	82,440	187,394	269,834	-57,234	38.78%	58.33%
		Chukchi Campus Allocation	0	0	0	0		0	0	n/a	58.33%
		Co-op Extension Svcs Allocation	340,900	0	340,900	165,652	80,000	245,652	95,248	48.59%	58.33%
		Interior Campus Allocation	131,200	0	131,200	67,652	70,120	137,772	-6,572	51.56%	58.33%
		Kuskokwim Campus Allocation	197,800	0	197,800	151,898	86,176	238,074	-40,274	76.79%	58.33%
		Northwest Campus Allocation	10,000	0	10,000	0		0	10,000	0.00%	58.33%
		Rural College Allocation	576,700	0	576,700	38,950	268,178	307,128	269,572	6.75%	58.33%
		Tanana Valley College Allocation	184,700	0	184,700	10,127		10,127	174,573	5.48%	58.33%
	Restricted Total		1,653,900	0	1,653,900	516,720	691,868	1,208,588	445,312	31.24%	58.33%
Inter-Agency Receipts Total			1,653,900	0	1,653,900	518,720	691,868	1,210,588	443,312	31.36%	58.33%
Student Tuition/Fees/Serv	Unrestricted	Bristol Bay Campus Allocation	309,000	0	309,000	114,917	194,083	309,000	0	37.19%	58.33%
		Chukchi Campus Allocation	140,000	0	140,000	50,796	89,200	139,996	5	36.28%	58.33%
		Interior Campus Allocation	356,200	200,000	556,200	281,159	139,000	420,159	136,041	50.55%	58.33%
		Kuskokwim Campus Allocation	466,500	0	466,500	347,165	49,000	396,165	70,335	74.42%	58.33%
		Northwest Campus Allocation	209,000	0	209,000	126,542	75,623	202,165	6,835	60.55%	58.33%
		Rural College Allocation	3,421,400	110,200	3,531,600	4,658,103	-617,503	4,040,600	-509,000	131.90%	58.33%
		Tanana Valley College Allocation	4,893,000	-110,200	4,782,800	4,835,928	-189,237	4,646,691	136,109	101.11%	58.33%
	Unrestricted Total		9,795,100	200,000	9,995,100	10,414,609	-259,834	10,154,775	-159,675	104.20%	58.33%
Student Tuition/Fees/Serv Total			9,795,100	200,000	9,995,100	10,414,609	-259,834	10,154,775	-159,675	104.20%	58.33%
Tech Voc Educ Progr Other	Unrestricted	Bristol Bay Campus Allocation	0	71,765	71,765	71,765		71,765	0	100.00%	58.33%
		Interior Campus Allocation	0	260,203	260,203	260,203		260,203	0	100.00%	58.33%
		Kuskokwim Campus Allocation	0	3,186	3,186	3,186		3,186	0	100.00%	58.33%
		Northwest Campus Allocation	75,000	27,946	102,946	102,946		102,946	0	100.00%	58.33%
		Rural College Allocation	115,000	-115,000	0	0		0	0	n/a	58.33%
		Tanana Valley College Allocation	418,100	-248,100	170,000	170,000		170,000	0	100.00%	58.33%
	Unrestricted Total		608,100	0	608,100	608,100		608,100	0	100.00%	58.33%
Tech Voc Educ Progr Other Total			608,100	0	608,100	608,100		608,100	0	100.00%	58.33%
U of A Receipts	Designated	Co-op Extension Svcs Allocation	400,000	0	400,000	220,528	186,590	407,118	-7,118	55.13%	58.33%
	Designated Total		400,000	0	400,000	220,528	186,590	407,118	-7,118	55.13%	58.33%
	Unrestricted	Bristol Bay Campus Allocation	119,100	0	119,100	51,599	-1,599	50,000	69,100	43.32%	58.33%
		Chukchi Campus Allocation	1,000	305,000	306,000	309,950		309,950	-3,950	101.29%	58.33%
		Co-op Extension Svcs Allocation	739,500	0	739,500	167,012	40,500	207,512	531,988	22.58%	58.33%
		Interior Campus Allocation	208,000	32,739	240,739	165,210	10,913	176,123	64,616	68.63%	58.33%
		Kuskokwim Campus Allocation	349,900	0	349,900	69,302	25,791	95,093	254,807	19.81%	58.33%
		Northwest Campus Allocation	13,700	0	13,700	0		0	13,700	0.00%	58.33%
		Rural College Allocation	320,500	-375,690	-55,190	103,056	17,444	120,500	-175,690	-186.73%	58.33%
		Tanana Valley College Allocation	572,000	39,346	611,346	195,993	99,900	295,893	315,453	32.06%	58.33%
	Unrestricted Total		2,323,700	1,395	2,325,095	1,062,121	192,949	1,255,070	1,070,025	45.68%	58.33%
	Restricted	Bristol Bay Campus Allocation	70,000	0	70,000	27,323	96,886	124,209	-54,209	39.03%	58.33%
		Chukchi Campus Allocation	125,700	-10,000	115,700	4,723	110,959	115,682	18	4.08%	58.33%
		Co-op Extension Svcs Allocation	520,000	0	520,000	248,743	225,500	474,243	45,757	47.84%	58.33%
		Interior Campus Allocation	140,400	0	140,400	97,008	43,793	140,801	-401	69.09%	58.33%
		Kuskokwim Campus Allocation	323,100	0	323,100	44,905	25,562	70,467	252,633	13.90%	58.33%
		Northwest Campus Allocation	0	0	0	0		0	0	n/a	58.33%
		Rural College Allocation	501,700	0	501,700	262,222	239,446	501,668	32	52.27%	58.33%
		Tanana Valley College Allocation	50,000	0	50,000	114,331	89,500	203,831	-153,831	228.66%	58.33%
	Restricted Total		1,730,900	-10,000	1,720,900	799,255	831,646	1,630,901	89,999	46.44%	58.33%

University of Alaska Fairbanks Community Colleges Allocations
Revenue Detail by Allocation (Excluding Auxiliaries)
Projected at January 31, 2010

SBS Budget Title	Fund Group	Allocation Desc	State Approved Budget	Budget Adj	Total Adjusted Budget	Actual Revenue	Projected Additional Revenue	Total Projected Revenue**	Projected Revenue (Over) Under Budget	Actual %	Expected %
U of A Receipts Total			4,454,600	-8,605	4,445,995	2,081,904	1,211,185	3,293,089	1,152,906	46.83%	58.33%
UA Intra-Agency Transfers	Unrestricted	Bristol Bay Campus Allocation	0	26,200	26,200	0		0	26,200	0.00%	58.33%
		Chukchi Campus Allocation	0	26,200	26,200	0		0	26,200	0.00%	58.33%
		Co-op Extension Svcs Allocation	29,700	0	29,700	1,880		1,880	27,820	6.33%	58.33%
		Interior Campus Allocation	0	177,125	177,125	173,260	10,500	183,760	-6,635	97.82%	58.33%
		Kuskokwim Campus Allocation	24,700	111,586	136,286	85,911		85,911	50,375	63.04%	58.33%
		Northwest Campus Allocation	5,000	26,200	31,200	0		0	31,200	0.00%	58.33%
		Rural College Allocation	282,900	185,000	467,900	225,400	190,099	415,498	52,402	48.17%	58.33%
		Tanana Valley College Allocation	14,700	48,759	63,459	65,909	89,136	155,045	-91,586	103.86%	58.33%
		Unrestricted Total	357,000	601,070	958,070	552,360	289,735	842,094	115,976	57.65%	58.33%
UA Intra-Agency Transfers Total			357,000	601,070	958,070	552,360	289,735	842,094	115,976	57.65%	58.33%
Grand Total			54,672,300	795,665	55,467,965	44,345,412	9,483,230	53,828,641	1,639,324	79.95%	58.33%

Note: Total revenue in unrestricted funds includes carryforward revenue from prior year. After prior year carryforward is subtracted from current year revenue, actual revenue will be below authorized budget for total current funds.

University of Alaska Fairbanks Campus & Organized Research Allocations
Summary of Auxiliary Activity
Projected at January 31, 2010

Allocation Desc	Auxiliary Fund Title	Beginning Fund Balance	Budget	Revenue YTD	Revenue Projected	Expenditures YTD	Expenditures Projected	Projected Gain (Deficit)	Projected Ending Fund Balance
Fairbanks Campus Allocation	UAF Aux Tuition Allowance	0	-846,000	0	0	0	0	0	0
	UAF Bookstore	-1,144,861	1,221,650	517,202	1,061,118	540,838	1,060,417	701	-1,144,160
	UAF Dining Services	149,330	3,679,265	3,421,727	3,655,162	3,307,609	3,517,472	137,691	287,020
	UAF FS DDC Hess Village	117,387	761,662	580,314	761,661	565,794	761,661	1	117,388
	UAF Ice Arena	-118,291	461,707	194,339	391,782	324,046	481,707	-89,925	-208,217
	UAF Parking	-209,501	1,730,260	1,292,512	1,600,259	1,038,608	1,730,260	-130,001	-339,502
	UAF Residence Life	3,392,652	6,743,394	6,121,556	7,213,583	3,456,316	8,513,140	-1,299,557	2,093,095
	UAF University of Alaska Press	287,379	530,000	170,517	340,617	317,206	556,717	-216,100	71,279
	UAF University Technology Center	-1,020,176	0	0	0	-1	0	0	-1,020,176
	UAF Wood Center	131,160	405,097	235,259	438,883	250,795	438,774	109	131,268
	Miscellaneous Auxiliary	0	2,957,665	0	0	0	0	0	0
Grand Total		1,585,078	17,644,700	12,533,425	15,463,065	9,801,211	17,060,148	-1,597,084	-12,006

**University of Alaska Fairbanks Community Colleges Allocations
Summary of Auxiliary Activity
Projected at January 31, 2010**

Allocation Desc	Auxiliary Fund Title	Beginning Fund Balance	Budget	Revenue YTD	Revenue Projected	Expenditures YTD	Expenditures Projected	Projected Gain (Deficit)	Projected Ending Fund Balance
Bristol Bay Campus Allocation*	RC Bookstores	0	14,000	8,786	9,261	494	494	8,767	8,767
Chukchi Campus Allocation	CC Bookstore	-3,020	13,000	9,520	9,520	11,220	11,220	-1,700	-4,719
Interior Campus Allocation*	RC Bookstores	0	8,900	2,471	2,471	-386	-386	2,857	2,857
Kuskokwim Campus Allocation	KUC Aux Tuition Allowance	0	-31,000	0	0	0	0	0	0
	KUC Bookstore	-16,744	2,500	3,964	3,964	2,453	2,453	1,512	-15,233
	KU Dormitory-Food Service	114,500	420,000	396,466	473,966	306,446	401,901	72,066	186,566
Northwest Campus Allocation	NWC Bookstore	969	25,000	5,377	13,620	13,807	12,072	1,547	2,517
Rural College Allocation*	RC Bookstores	506,301	1,132,500	932,533	1,132,832	1,134,849	1,449,296	-316,464	189,837
Grand Total		602,006	1,584,900	1,359,118	1,645,634	1,468,882	1,877,049	-231,415	370,591

*FY10 beginning fund balances are not available for Bristol Bay or Interior Campus Allocations as they are consolidated with Rural College Allocation into one Auxiliary Fund
The total projected ending balance for Rural College Bookstores fund is \$201,461.



March 5, 2010

To: Karin Baldwin, Fund Accounting
From: Julie Vigil, UAS Budget
Thru: Barbara Hyde, UAS Budget
Subject: January Month End Management Report

The UAS region is projecting a carry forward of \$1,932,616 in its unrestricted funds. At this time we are not projecting to exceed any one revenue type. We will be making adjustments between the different specific University Receipt types but we will not exceed our consolidated University Receipt Authority.

The Juneau campus auxiliaries are projecting to spend into their fund balances. Student housing is continuing its renewal and replacement of their roofs and interior. These renovations started last fiscal year and as such many of the open encumbrances rolled into FY10 so the funding for some of these projects lies in the fund balance.

Please let me know if you have any questions.

Consolidated UAR Totals

	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	10,879,400	(226,250)	608,500	11,261,650	9,571,625	1,213,531	10,785,156	476,494	88%	96%
Ketchikan	1,521,500	(50,000)	-	1,471,500	1,064,944	148,055	1,212,999	258,501	70%	82%
Sitka	2,796,200	(60,000)	(316,000)	2,420,200	1,888,442	312,767	2,201,209	218,991	68%	91%
Total UA Southeast	15,197,100	(336,250)	292,500	15,153,350	12,525,011	1,674,353	14,199,364	953,986	82%	94%
Designated										
Juneau	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Restricted										
Juneau	647,500	165,000	295,000	1,107,500	643,549	461,300	1,104,849	2,651	99%	100%
Ketchikan	6,000	-	-	6,000	-	2,500	2,500	3,500	0%	42%
Sitka	23,000	60,000	21,000	104,000	60,382	43,130	103,512	488	263%	100%
Total UA Southeast	676,500	225,000	316,000	1,217,500	703,931	506,930	1,210,861	6,639	104%	99%
Auxiliary										
Juneau	3,464,700	-	(705,500)	2,759,200	1,903,616	328,400	2,232,016	527,184	55%	81%
Ketchikan	187,700	50,000	-	237,700	171,741	40,651	212,392	25,308	91%	89%
Sitka	65,700	-	-	65,700	48,938	2,671	51,609	14,091	74%	79%
Total UA Southeast	3,718,100	50,000	(705,500)	3,062,600	2,124,295	371,722	2,496,017	566,583	57%	81%
Total Funds										
Juneau	15,092,600	-	295,000	15,387,600	12,270,041	2,111,231	14,381,272	1,006,328	81%	93%
Ketchikan	1,715,200	-	-	1,715,200	1,236,685	191,206	1,427,891	287,309	72%	83%
Sitka	2,884,900	-	(295,000)	2,589,900	1,997,761	358,568	2,356,329	233,571	69%	91%
Total UA Southeast	19,692,700	-	-	19,692,700	15,504,487	2,661,005	18,165,492	1,527,208	79%	92%

FY10				
	JC Auth	KC Auth	SC Auth	Total Auth
10100 - Interest Income	101,200	-	-	101,200
10150 - Dorm, Food, Aux Svcs	3,464,700	187,700	65,700	3,718,100
10380 - Student Tution & Fees	6,681,800	1,127,800	1,690,600	9,500,200
10390 - Indirect Cost Recovery	970,600	47,900	201,400	1,219,900
10480 - University Receipts	3,424,300	551,800	1,177,200	5,153,300
	14,642,600	1,915,200	3,134,900	19,692,700

**University of Alaska Southeast
FY10 Revenue**

All Revenue

	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	35,263,602	(1,604,250)	700,300	34,359,652	31,888,014	1,592,882	33,480,896	878,756	90%	97%
Ketchikan	4,580,600	(90,000)	-	4,490,600	3,818,344	148,055	3,966,399	524,201	83%	88%
Sitka	5,950,100	27,000	(316,000)	5,661,100	5,043,431	372,900	5,416,331	244,769	85%	96%
Total UA Southeast	45,794,302	(1,667,250)	384,300	44,511,352	40,749,790	2,113,837	42,863,627	1,647,725	89%	96%
Designated										
Juneau	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Restricted										
Juneau	3,346,600	756,824	618,023	4,721,447	3,256,377	1,126,947	4,383,324	338,123	97%	93%
Ketchikan	207,700	40,000	-	247,700	136,245	99,800	236,045	11,655	66%	95%
Sitka	1,370,400	60,000	21,000	1,451,400	673,093	479,830	1,152,923	298,477	49%	79%
Total UA Southeast	4,924,700	856,824		6,420,547	4,065,715	1,706,577	5,772,292	648,255	83%	90%
Auxiliary										
Juneau	3,805,400	526,000	(715,500)	3,615,900	1,943,616	328,400	2,272,016	1,343,884	51%	63%
Ketchikan	187,700	50,000	-	237,700	171,741	40,651	212,392	25,308	91%	89%
Sitka	65,700	-	-	65,700	48,938	2,671	51,609	14,091	74%	79%
Total UA Southeast	4,058,800	576,000	(715,500)	3,919,300	2,164,295	371,722	2,536,017	1,383,283	53%	65%
Total Funds										
Juneau	42,516,602	(260,176)	699,823	42,956,249	37,239,258	3,156,229	40,395,487	2,560,762	88%	94%
Ketchikan	4,976,000	-	-	4,976,000	4,126,329	288,506	4,414,835	561,165	83%	89%
Sitka	7,386,200	87,000	(295,000)	7,178,200	5,765,462	855,401	6,620,863	557,337	78%	92%
Total UA Southeast	54,878,802	(173,176)	404,823	55,110,449	47,131,050	4,300,136	51,431,186	3,679,263	86%	93%

University of Alaska Southeast
FY10 Revenue

Federal Receipts

10020	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	1,318,900	(1,291,000)		27,900	-	-	-	27,900	0%	0%
Ketchikan	129,600	(40,000)		89,600		-	-	89,600	0%	0%
Sitka				-		-	-	-	0%	0%
Total UA Southeast	1,448,500	(1,331,000)	-	117,500	-	-	-	117,500	0%	0%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau	2,252,100	765,000		3,017,100	2,380,660	350,000	2,730,660	286,440	106%	91%
Ketchikan	201,700	40,000		241,700	136,245	97,300	233,545	8,155	68%	97%
Sitka	1,181,400			1,181,400	552,706	394,700	947,406	233,994	47%	80%
Total UA Southeast	3,635,200	805,000	-	4,440,200	3,069,610	842,000	3,911,610	528,590	84%	88%
Auxiliary										
Juneau	257,700	526,000		783,700	-		-	783,700	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	257,700	526,000	-	783,700	-	-	-	783,700	0%	0%
Total Funds										
Juneau	3,828,700	-	-	3,828,700	2,380,660	350,000	2,730,660	1,098,040	62%	71%
Ketchikan	331,300	-	-	331,300	136,245	97,300	233,545	97,755	41%	70%
Sitka	1,181,400	-	-	1,181,400	552,706	394,700	947,406	233,994	47%	80%
Total UA Southeast	5,341,400	-	-	5,341,400	3,069,610	842,000	3,911,610	1,429,790	57%	73%

University of Alaska Southeast
FY10 Revenue

General Fund Match

10030	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	18,200			18,200	18,200	-	18,200	-	100%	100%
Ketchikan	-			-			-	-	0%	0%
Sitka	-			-			-	-	0%	0%
Total UA Southeast	18,200	-	-	18,200	18,200	-	18,200	-	100%	100%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	18,200	-	-	18,200	18,200	-	18,200	-	100%	100%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	18,200	-	-	18,200	18,200	-	18,200	-	100%	100%

University of Alaska Southeast
FY10 Revenue

General Fund

10040	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	21,058,600		81,800	21,140,400	21,058,600	81,800	21,140,400	-	100%	100%
Ketchikan	2,436,400			2,436,400	2,436,400	-	2,436,400	-	100%	100%
Sitka	3,030,300			3,030,300	3,030,300	-	3,030,300	-	100%	100%
Total UA Southeast	26,525,300	-	81,800	26,607,100	26,525,300	81,800	26,607,100	-	100%	100%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-		-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	21,058,600	-	81,800	21,140,400	21,058,600	81,800	21,140,400	-	100%	100%
Ketchikan	2,436,400	-	-	2,436,400	2,436,400	-	2,436,400	-	100%	100%
Sitka	3,030,300	-	-	3,030,300	3,030,300	-	3,030,300	-	100%	100%
Total UA Southeast	26,525,300	-	81,800	26,607,100	26,525,300	81,800	26,607,100	-	100%	100%

University of Alaska Southeast
FY10 Revenue

State Inter-Agency Receipts

10070	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	52,500			52,500	-	-	-	52,500	0%	0%
Ketchikan	163,000			163,000		-	-	163,000	0%	0%
Sitka	-			-		-	-	-	0%	0%
Total UA Southeast	215,500	-	-	215,500	-	-	-	215,500	0%	0%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau	447,000			447,000	232,168	165,800	397,968	49,032	52%	89%
Ketchikan				-			-	-	0%	0%
Sitka	166,000			166,000	60,006	42,000	102,006	63,994	36%	61%
Total UA Southeast	613,000	-	-	613,000	292,174	207,800	499,974	113,026	48%	82%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	499,500	-	-	499,500	232,168	165,800	397,968	101,532	46%	80%
Ketchikan	163,000	-	-	163,000	-	-	-	163,000	0%	0%
Sitka	166,000	-	-	166,000	60,006	42,000	102,006	63,994	36%	61%
Total UA Southeast	828,500	-	-	828,500	292,174	207,800	499,974	328,526	35%	60%

University of Alaska Southeast
FY10 Revenue

Interest Income

10100	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	101,200			101,200	-	-	-	101,200	0%	0%
Ketchikan							-	-	0%	0%
Sitka							-	-	0%	0%
Total UA Southeast	101,200	-	-	101,200	-	-	-	101,200	0%	0%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	101,200	-	-	101,200	-	-	-	101,200	0%	0%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	101,200	-	-	101,200	-	-	-	101,200	0%	0%

University of Alaska Southeast
FY10 Revenue

Dorm, Food & Auxiliary Serv

10150	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-		-	-	-	-	-	0%	0%
Auxiliary										
Juneau	3,464,700		(706,000)	2,758,700	1,903,116	328,400	2,231,516	527,184	55%	81%
Ketchikan	187,700	50,000		237,700	171,741	40,651	212,392	25,308	91%	89%
Sitka	65,700			65,700	48,938	2,671	51,609	14,091	74%	79%
Total UA Southeast	3,718,100	50,000	(706,000)	3,062,100	2,123,795	371,722	2,495,517	566,583	57%	81%
Total Funds										
Juneau	3,464,700	-	(706,000)	2,758,700	1,903,116	328,400	2,231,516	527,184	55%	81%
Ketchikan	187,700	50,000	-	237,700	171,741	40,651	212,392	25,308	91%	89%
Sitka	65,700	-	-	65,700	48,938	2,671	51,609	14,091	74%	79%
Total UA Southeast	3,718,100	50,000	(706,000)	3,062,100	2,123,795	371,722	2,495,517	566,583	57%	81%

University of Alaska Southeast
FY10 Revenue

Student Tuition/Fees/Serv

10380	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	7,131,800		706,000	7,837,800	7,157,855	679,900	7,837,755	45	100%	100%
Ketchikan	1,177,800			1,177,800	945,564	140,349	1,085,913	91,887	80%	92%
Sitka	1,690,600			1,690,600	1,489,269	197,437	1,686,706	3,894	88%	100%
Total UA Southeast	10,000,200	-	706,000	10,706,200	9,592,688	1,017,686	10,610,374	95,826	96%	99%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-	(2,196)		(2,196)	2,196	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-		-	(2,196)	-	(2,196)	2,196	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	7,131,800	-	706,000	7,837,800	7,155,659	679,900	7,835,559	2,241	100%	100%
Ketchikan	1,177,800	-	-	1,177,800	945,564	140,349	1,085,913	91,887	80%	92%
Sitka	1,690,600	-	-	1,690,600	1,489,269	197,437	1,686,706	3,894	88%	100%
Total UA Southeast	10,000,200	-	706,000	10,706,200	9,590,492	1,017,686	10,608,178	98,022	96%	99%

University of Alaska Southeast
FY10 Revenue

Indirect Cost Recovery

10390	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	668,400			668,400	223,842	135,031	358,873	309,527	33%	54%
Ketchikan	47,900	(20,000)		27,900	7,282	5,000	12,282	15,619	15%	44%
Sitka	201,400			201,400	28,318	16,882	45,200	156,200	14%	22%
Total UA Southeast	917,700	(20,000)	-	897,700	259,441	156,913	416,354	481,346	28%	46%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	668,400	-	-	668,400	223,842	135,031	358,873	309,527	33%	54%
Ketchikan	47,900	(20,000)	-	27,900	7,282	5,000	12,282	15,619	15%	44%
Sitka	201,400	-	-	201,400	28,318	16,882	45,200	156,200	14%	22%
Total UA Southeast	917,700	(20,000)	-	897,700	259,441	156,913	416,354	481,346	28%	46%

University of Alaska Southeast
FY10 Revenue

U of A Receipts

10480	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	2,978,000	(226,250)	(97,500)	2,654,250	2,189,928	398,600	2,588,528	65,722	74%	98%
Ketchikan	295,800	(30,000)		265,800	112,098	2,706	114,804	150,996	38%	43%
Sitka	904,200	(60,000)	(316,000)	528,200	370,855	98,448	469,303	58,897	41%	89%
Total UA Southeast	4,178,000	(316,250)	(413,500)	3,448,250	2,672,882	499,754	3,172,636	275,614	64%	92%
Designated										
Juneau	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	101,000	61,250	97,000	259,250	151,250	108,000	259,250	-	150%	100%
Restricted										
Juneau	647,500	165,000	295,000	1,107,500	645,745	461,300	1,107,045	455	100%	100%
Ketchikan	6,000			6,000	-	2,500	2,500	3,500	0%	42%
Sitka	23,000	60,000	21,000	104,000	60,382	43,130	103,512	488	263%	100%
Total UA Southeast	676,500	225,000	316,000	1,217,500	706,127	506,930	1,213,057	4,443	104%	100%
Auxiliary										
Juneau			500	500	500	-	500	-	0%	100%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	500	500	500	-	500	-	0%	100%
Total Funds										
Juneau	3,726,500	-	295,000	4,021,500	2,987,424	967,900	3,955,324	66,176	80%	98%
Ketchikan	301,800	(30,000)	-	271,800	112,098	5,206	117,304	154,496	37%	43%
Sitka	927,200	-	(295,000)	632,200	431,237	141,578	572,815	59,385	47%	91%
Total UA Southeast	4,955,500	(30,000)	-	4,925,500	3,530,759	1,114,684	4,645,443	280,057	71%	94%

University of Alaska Southeast
FY10 Revenue

CIP Receipts

10610	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	412,800			412,800	45,881	46,000	91,881	320,919	11%	22%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	412,800	-	-	412,800	45,881	46,000	91,881	320,919	11%	22%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	412,800	-	-	412,800	45,881	46,000	91,881	320,919	11%	22%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	412,800	-	-	412,800	45,881	46,000	91,881	320,919	11%	22%

University of Alaska Southeast
FY10 Revenue

Federal Stimulus-- ARRA 2009

12120	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau		(173,176)	323,023	149,847	-	149,847	149,847	-	0%	100%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	(173,176)		149,847	-	149,847	149,847	-	0%	100%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	-	(173,176)	323,023	149,847	-	149,847	149,847	-	0%	100%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	-	(173,176)	323,023	149,847	-	149,847	149,847	-	0%	100%

University of Alaska Southeast
FY10 Revenue

Tech Voc Ed Program Other

11510	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	443,100	(87,000)		356,100	356,100	-	356,100	-	80%	100%
Ketchikan	317,000			317,000	317,000		317,000	-	100%	100%
Sitka	-	87,000		87,000	87,000		87,000	-	0%	100%
Total UA Southeast	760,100	-	-	760,100	760,100	-	760,100	-	100%	100%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	443,100	(87,000)	-	356,100	356,100	-	356,100	-	80%	100%
Ketchikan	317,000	-	-	317,000	317,000	-	317,000	-	100%	100%
Sitka	-	87,000	-	87,000	87,000	-	87,000	-	0%	100%
Total UA Southeast	760,100	-	-	760,100	760,100	-	760,100	-	100%	100%

University of Alaska Southeast
FY10 Revenue

UA Intra Agency Transfers

11740	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	686,600		10,000	696,600	444,105	251,551	695,656	944	65%	100%
Ketchikan	13,100			13,100	-	-	-	13,100	0%	0%
Sitka	123,600			123,600	37,690	60,133	97,823	25,777	30%	79%
Total UA Southeast	823,300	-	10,000	833,300	481,795	311,684	793,479	39,821	59%	95%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-		-	-	-	-	-	0%	0%
Auxiliary										
Juneau	83,000		(10,000)	73,000	40,000	-	40,000	33,000	48%	55%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	83,000	-	(10,000)	73,000	40,000	-	40,000	33,000	48%	55%
Total Funds										
Juneau	769,600	-	-	769,600	484,105	251,551	735,656	33,944	63%	96%
Ketchikan	13,100	-	-	13,100	-	-	-	13,100	0%	0%
Sitka	123,600	-	-	123,600	37,690	60,133	97,823	25,777	30%	79%
Total UA Southeast	906,300	-	-	906,300	521,795	311,684	833,479	72,821	58%	92%

University of Alaska Southeast
FY10 Revenue

UA General Funds (Readiness Center Debt)										
Org 77802	Actual Budget	Adjusted	Projected	Total Budget	Actual Revenue	Projected Revenue	Total Revenue	(Over)/Under	Actual %	Projected %
Unrestricted										
Juneau	393,502			393,502	393,502	-	393,502	-	100%	100%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	393,502	-	-	393,502	393,502	-	393,502	-	100%	100%
Designated										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Restricted										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Auxiliary										
Juneau				-			-	-	0%	0%
Ketchikan				-			-	-	0%	0%
Sitka				-			-	-	0%	0%
Total UA Southeast	-	-	-	-	-	-	-	-	0%	0%
Total Funds										
Juneau	393,502	-	-	393,502	393,502	-	393,502	-	100%	100%
Ketchikan	-	-	-	-	-	-	-	-	0%	0%
Sitka	-	-	-	-	-	-	-	-	0%	0%
Total UA Southeast	393,502	-	-	393,502	393,502	-	393,502	-	100%	100%

**University of Alaska Southeast
Summary of Auxiliary Activity
Projected at January 31, 2010**

	Beginning Fund Balance	Total Budget	Revenue YTD	Projected Revenue	Expenses YTD	Encumb	Expenditures Projected	Gain/(Deficit)	Projected Ending Fund Balance
Juneau									
Bookstore	140,695	689,000	563,739	119,158	542,173	37,439	120,139	(16,854)	123,841
Student Housing & Food Service	1,431,273	2,440,200	1,060,440	168,040	955,868	371,744	813,053	(912,185)	519,088
Student Activities Center	22,754	442,400	319,437	41,202	242,212	3,018	138,086	(22,677)	77
Total Juneau	1,594,722	3,571,600	1,943,616	328,400	1,740,253	412,201	1,071,278	(951,716)	643,006
Ketchikan									
Bookstore	61,059	237,700	171,742	40,650	195,316	7,155	(14,475)	24,396	85,455
Total Ketchikan	61,059	237,700	171,742	40,650	195,316	7,155	(14,475)	24,396	85,455
Sitka									
Bookstore	54,959	65,700	32,923	18,686	38,638	28	12,943	-	54,959
Total Sitka	54,959	65,700	32,923	18,686	38,638	28	12,943	-	54,959
Total UA Southeast	1,710,740	3,875,000	2,148,281	387,736	1,974,207	419,384	1,069,746	(927,320)	783,420

**University of Alaska Southeast
Revenue & Expenditure Summary
Projected at January 31, 2010**

	Projected Budget	YTD Revenue	Projected Revenue	Total Revenue	Total Revenue (Over)/Under Budget	YTD Exp and Encumb	Projected Exp and Encumb	Total Exp and Encumb	Total Exp and Encumb (Over)/Under Budget	Projected Gain/(Deficit)	YTD Exp & Encumb	Total Exp and Encumb
Juneau												
Unrestricted	34,359,652	31,888,014	1,592,882	33,480,896	878,756	18,775,055	13,008,011	31,783,066	2,576,586	1,697,830	55%	93%
Designated	259,250	151,250	108,000	259,250	-	151,250	108,000	259,250	-	-	58%	100%
Restricted	4,721,447	3,256,377	1,126,947	4,383,324	338,123	3,215,028	1,168,296	4,383,324	338,123	0	68%	93%
Auxiliary	3,615,900	1,943,616	328,400	2,272,016	1,343,884	1,740,338	1,495,794	3,236,132	379,768	(964,115)	48%	89%
Total	42,956,249	37,239,258	3,156,229	40,395,487	2,560,762	23,881,671	15,780,101	39,661,772	3,294,477	733,715	56%	92%
Ketchikan												
Unrestricted	4,490,600	3,818,344	148,055	3,966,399	524,201	2,050,907	1,815,667	3,866,574	624,026	99,825	46%	86%
Designated	-	-	-	-	-	-	-	-	-	-	0%	0%
Restricted	247,700	136,245	99,800	236,045	11,655	116,364	119,681	236,045	11,655	(0)	47%	95%
Auxiliary	237,700	171,741	40,651	212,392	25,308	156,204	31,752	187,956	49,744	24,436	66%	79%
Total	4,976,000	4,126,329	288,506	4,414,835	561,165	2,323,475	1,967,100	4,290,575	685,425	124,260	47%	86%
Sitka												
Unrestricted	5,661,100	5,043,431	372,900	5,416,331	244,769	2,642,476	2,638,894	5,281,370	379,730	134,961	47%	93%
Designated	-	-	-	-	-	-	-	-	-	-	0%	0%
Restricted	1,451,400	673,093	479,830	1,152,923	298,477	557,978	594,945	1,152,923	298,477	0	38%	79%
Auxiliary	65,700	48,938	2,671	51,609	14,091	25,176	26,433	51,609	14,091	(0)	38%	79%
Total	7,178,200	5,765,462	855,401	6,620,863	557,337	3,225,630	3,260,272	6,485,902	692,298	134,961	45%	90%
Total UA Southeast												
Unrestricted	44,511,352	40,749,790	2,113,837	42,863,627	1,647,725	23,468,438	17,462,572	40,931,010	3,580,342	1,932,616	53%	92%
Designated	259,250	151,250	108,000	259,250	-	151,250	108,000	259,250	-	-	58%	100%
Restricted	6,420,547	4,065,715	1,706,577	5,772,292	648,255	3,889,370	1,882,922	5,772,292	648,255	0	61%	90%
Auxiliary	3,919,300	2,164,295	371,722	2,536,017	1,383,283	1,921,718	1,553,979	3,475,697	443,603	(939,680)	49%	89%
Grand Total	55,110,449	47,131,050	4,300,136	51,431,186	3,679,263	29,430,776	21,007,473	50,438,249	4,672,200	992,937	53%	92%

Myron J. Dosch, CPA
Controller
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UNIVERSITY
of ALASKA
Many Traditions One Alaska

March 22, 2010

To: Karin Baldwin, Financial Accounting Director

Through: Myron Dosch, Controller

From: Joan Fiorenzi, Senior Budget Officer

Re: Statewide January 2010 Management Report

Please find attached Statewide's management report for the seven months ended January 2010 with projections to June 30, 2010. This report has been prepared in accordance with Accounting Manual Procedure P-82, and the instructions issued by Myron Dosch, Controller, on December 11, 2009.

The detailed schedules show a projected carryforward of \$2,008,753 as follows:

Statewide Unrestricted Funds	\$1,193,753
Video Recharge Depreciation Reserve	440,000
MAPTS	<u>375,000</u>
Total	<u>\$2,008,753</u>

There are no projected overages for any revenue receipt authority category. However, the UA Intra-agency category is close at only \$393,000 under budget, and therefore will be monitored closely.

Projections for labor were based on individual positions taking into account known vacancies, expected fill dates and anticipated leave usage. Other operating expense projections were based on responses from departments and units.

Unrestricted expenditures in total were decreased from unit projections by \$1,250,000 based on a combination of historical experience of known over-projections and an analysis of year to date activity as compared to prior years. Expenditures compared to budget will be monitored closely during the remaining months of the fiscal year.

If you have any questions, please let me know.

**University of Alaska - Statewide
Revenue & Expenditure Summaries
Projected at January 31, 2010**

	Total budget	Revenue January 31, 2010	Total projected June 30, 2010	Expenditures and encum. January 31, 2010	Total projected exp June 30, 2010	Projected revenue (over) under budget	Projected expenditures (over) under budget	Projected carryforward (deficit)	Expend. & Encum.	
									Actual %	Expect. %
SW Services										
Unrestricted	37,531,100	29,316,890	33,260,308	19,238,983	32,012,231	4,270,792	5,518,869	1,248,077	51%	58%
Restricted	1,154,500	148,238	239,163	225,384	239,163	915,337	915,337	0	20%	58%
SW Networks										
Unrestricted	20,114,900	14,899,351	17,366,137	11,838,917	16,916,137	2,748,763	3,198,763	450,000	59%	58%
Restricted	453,800	68,268	296,266	95,719	296,266	157,534	157,534	0	21%	58%
SW Outreach										
Unrestricted	4,217,200	3,980,646	4,413,794	2,679,252	4,103,118	(196,594)	114,082	310,676	64%	58%
Restricted	6,686,000	2,801,409	5,414,069	3,776,828	5,414,069	1,271,931	1,271,931	0	56%	58%
Total Statewide	<u>70,157,500</u>	<u>51,214,801</u>	<u>60,989,737</u>	<u>37,855,083</u>	<u>58,980,984</u>	<u>9,167,763</u>	<u>11,176,516</u>	<u>2,008,753</u>	<u>54%</u>	<u>58%</u>
Unrestricted	61,863,200	48,196,886	55,040,239	33,757,152	53,031,486	6,822,961	8,831,714	2,008,753		
Restricted	8,294,300	3,017,915	5,949,498	4,097,930	5,949,498	2,344,802	2,344,802	0		
Total Statewide	<u>70,157,500</u>	<u>51,214,801</u>	<u>60,989,737</u>	<u>37,855,083</u>	<u>58,980,984</u>	<u>9,167,763</u>	<u>11,176,516</u>	<u>2,008,753</u>		

Note: Total unrestricted projected expenditures were reduced by \$750K, \$450K and \$50K for Services, Networks and Outreach, respectively to adjust for predicted over-projection and correlate with actual expenditure trends experienced year to date.

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

Budget vs. Revenue Totals								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Expected %
Unrestricted funds								
SW Services	37,531,100	-	37,531,100	29,316,890	3,943,418	33,260,308	4,270,792	58%
SW Networks	20,114,900	-	20,114,900	14,899,351	2,466,786	17,366,137	2,748,763	58%
SW Outreach	4,217,200	-	4,217,200	3,980,646	433,148	4,413,794	(196,594)	58%
Total Statewide	61,863,200	-	61,863,200	48,196,886	6,843,353	55,040,239	6,822,961	0%
Restricted funds								
SW Services	1,154,500	-	1,154,500	148,238	90,925	239,163	915,337	58%
SW Networks	453,800	-	453,800	68,268	227,998	296,266	157,534	58%
SW Outreach	6,686,000	-	6,686,000	2,801,409	2,612,660	5,414,069	1,271,931	58%
Total Statewide	8,294,300	-	8,294,300	3,017,915	2,931,583	5,949,498	2,344,802	36%
Total current funds								
SW Services	38,685,600	0	38,685,600	29,465,128	4,034,343	33,499,471	5,186,129	58%
SW Networks	20,568,700	0	20,568,700	14,967,619	2,694,784	17,662,403	2,906,297	58%
SW Outreach	10,903,200	0	10,903,200	6,782,055	3,045,808	9,827,863	1,075,337	58%
Total Statewide	70,157,500	-	70,157,500	51,214,801	9,774,936	60,989,737	9,167,763	58%

University of Alaska - Statewide
Revenue by Source - Unrestricted Funds
Projected at January 31, 2010

			Projected		Actual	Projected	Total	(Over)
		Actual budget	budget	Total budget	revenue	revenue	revenue	Under
General Funds		26,605,500	-	26,605,500	26,605,500	-	26,605,500	-
GF Mental Health		100,000	-	100,000	100,000	-	100,000	-
MHTAAR		374,000	-	374,000	374,000	-	374,000	-
TVEP		1,331,200	-	1,331,200	1,331,200	-	1,331,200	-
University Receipts	(b)	11,081,200	-	11,081,200	3,826,549	5,131,166	8,957,716	2,123,484
Indirect Cost Recovery		4,284,400	-	4,284,400	2,481,861	1,631,088	4,112,949	171,451
State Intra-Agency	(a)	(737,700)	-	(737,700)	-	-	-	(737,700)
Interest Income		3,850,100	-	3,850,100	813,212	222,000	1,035,212	2,814,888
Tuition & Fees		976,400	-	976,400	907,898	60,100	967,998	8,402
UA Intra-Agency		11,948,900	-	11,948,900	11,756,666	(201,002)	11,555,664	393,236
Federal Receipts		2,049,200	-	2,049,200	-	-	-	2,049,200
		<u>61,863,200</u>	<u>-</u>	<u>61,863,200</u>	<u>48,196,886</u>	<u>6,843,353</u>	<u>55,040,239</u>	<u>6,822,961</u>

(a) Offset for restricted funds

(b) Actual revenue includes carryforward from prior year totaling \$1,587,992

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	General Funds								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	14,419,500		14,419,500	14,419,500	-	14,419,500	-	100%	100%
SW Networks	10,476,600		10,476,600	10,476,600	-	10,476,600	-	100%	100%
SW Outreach	1,709,400		1,709,400	1,709,400	-	1,709,400	-	100%	100%
Total Statewide	26,605,500	-	26,605,500	26,605,500	-	26,605,500	-	0%	0%
<u>Restricted funds</u>									
SW Services		0	-			-	0	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	-	-	-	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	14,419,500		14,419,500	14,419,500	0	14,419,500	0	100%	58%
SW Networks	10,476,600		10,476,600	10,476,600	0	10,476,600	0	100%	58%
SW Outreach	1,709,400		1,709,400	1,709,400	0	1,709,400	0	100%	58%
Total Statewide	26,605,500	-	26,605,500	26,605,500	-	26,605,500	-	100%	58%

University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010

	GF/ Mental Health (9223)								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	100,000		100,000	100,000	-	100,000	-	100%	100%
SW Networks		-	-	-	-	-	-	#DIV/0!	#DIV/0!
SW Outreach		-	-	-	-	-	-	#DIV/0!	#DIV/0!
Total Statewide	100,000	-	100,000	100,000	-	100,000	-	0%	0%
<u>Restricted funds</u>									
SW Services		0	-			-	0	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	-	-	-	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	100,000	0	100,000	100,000	0	100,000	0	100%	58%
SW Networks	0	0	0	0	0	0	0	#DIV/0!	58%
SW Outreach	0	0	0	0	0	0	0	#DIV/0!	58%
Total Statewide	100,000	-	100,000	100,000	-	100,000	-	100%	58%

University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010

MHTAAR (9224)									
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	374,000		374,000	374,000	-	374,000	-	100%	100%
SW Networks		-	-	-	-	-	-	#DIV/0!	#DIV/0!
SW Outreach		-	-	-	-	-	-	#DIV/0!	#DIV/0!
Total Statewide	374,000	-	374,000	374,000	-	374,000	-	0%	0%
<u>Restricted funds</u>									
SW Services		0	-			-	0	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	-	-	-	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	374,000	0	374,000	374,000	0	374,000	0	100%	58%
SW Networks	0	0	0	0	0	0	0	#DIV/0!	58%
SW Outreach	0	0	0	0	0	0	0	#DIV/0!	58%
Total Statewide	374,000	-	374,000	374,000	-	374,000	-	100%	58%

University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010

	TVEP/SB137/Workforce Dev Funds (9212)								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	150,000		150,000	150,000	-	150,000	-	100%	100%
SW Networks		-	-		-	-	-	#DIV/0!	#DIV/0!
SW Outreach	1,181,200		1,181,200	1,181,200	-	1,181,200	-	100%	100%
Total Statewide	1,331,200	-	1,331,200	1,331,200	-	1,331,200	-	0%	0%
<u>Restricted funds</u>									
SW Services		0	-			-	0	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	-	-	-	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	150,000	0	150,000	150,000	0	150,000	0	100%	58%
SW Networks	0	0	0	0	0	0	0	#DIV/0!	58%
SW Outreach	1,181,200	0	1,181,200	1,181,200	0	1,181,200	0	100%	58%
Total Statewide	1,331,200	-	1,331,200	1,331,200	-	1,331,200	-	100%	58%

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	University Receipts								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	6,392,100		6,392,100	2,455,521	4,807,371	7,262,892	(870,792)	38%	58%
SW Networks	3,675,400		3,675,400	464,676	-	464,676	3,210,724	13%	58%
SW Outreach	1,013,700		1,013,700	906,352	323,796	1,230,148	(216,448)	89%	58%
Total Statewide	11,081,200	-	11,081,200	3,826,549	5,131,166	8,957,716	2,123,484	0%	0%
<u>Restricted funds</u>									
SW Services	855,500		855,500	94,577	57,938	152,515	702,985	11%	58%
SW Networks	233,800		233,800	11,002	36,744	47,746	186,054	5%	58%
SW Outreach	3,511,500		3,511,500	1,546,177	1,442,001	2,988,178	523,322	44%	58%
Total Statewide	4,600,800	-	4,600,800	1,651,756	1,536,683	3,188,439	1,412,361	36%	58%
<u>Total current funds</u>									
SW Services	7,247,600	0	7,247,600	2,550,098	4,865,309	7,415,407	(167,807)	35%	58%
SW Networks	3,909,200	0	3,909,200	475,678	36,744	512,422	3,396,778	12%	58%
SW Outreach	4,525,200	0	4,525,200	2,452,529	1,765,797	4,218,326	306,874	54%	58%
Total Statewide	15,682,000	-	15,682,000	5,478,305	6,667,849	12,146,155	3,535,845	35%	58%

Note: Unrestricted university receipts includes prior year (FY09) carryforward.

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	Indirect Cost Recovery								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	2,847,200		2,847,200	2,357,859	(831,951)	1,525,909	1,321,291	83%	58%
SW Networks	1,307,400		1,307,400	7,288	2,406,786	2,414,074	(1,106,674)	1%	58%
SW Outreach	129,800		129,800	116,714	56,252	172,966	(43,166)	90%	58%
Total Statewide	4,284,400	-	4,284,400	2,481,861	1,631,088	4,112,949	171,451	0%	0%
<u>Restricted funds</u>									
SW Services		0	-			-	0	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	-	-	-	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	2,847,200	0	2,847,200	2,357,859	(831,951)	1,525,909	1,321,291	83%	58%
SW Networks	1,307,400	0	1,307,400	7,288	2,406,786	2,414,074	(1,106,674)	1%	58%
SW Outreach	129,800	0	129,800	116,714	56,252	172,966	(43,166)	90%	58%
Total Statewide	4,284,400	-	4,284,400	2,481,861	1,631,088	4,112,949	171,451	58%	58%

Note: Projected revenue includes a "transfer" of \$2,394,155 ICR from Services to Networks to balance Networks unrestricted fund in total.

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	State Intra-Agency Receipts							Actual %	Expected %
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under		
<u>Unrestricted funds</u>									
SW Services	8,400		8,400			-	8,400	0%	58%
SW Networks	325,200		325,200			-	325,200	0%	58%
SW Outreach	(1,071,300)		(1,071,300)			-	(1,071,300)	0%	58%
Total Statewide	(737,700)	-	(737,700)	-	-	-	(737,700)	0%	0%
<u>Restricted funds</u>									
SW Services	35,000	0	35,000	1,584	970	2,554	32,446	5%	58%
SW Networks	91,000	0	91,000	20,460	68,331	88,791	2,209	22%	58%
SW Outreach	2,387,900	0	2,387,900	986,648	920,171	1,906,819	481,081	41%	58%
Total Statewide	2,513,900	-	2,513,900	1,008,692	989,472	1,998,164	515,736	40%	58%
<u>Total current funds</u>									
SW Services	43,400	0	43,400	1,584	970	2,554	40,846	4%	58%
SW Networks	416,200	0	416,200	20,460	68,331	88,791	327,409	5%	58%
SW Outreach	1,316,600	0	1,316,600	986,648	920,171	1,906,819	(590,219)	75%	58%
Total Statewide	1,776,200	-	1,776,200	1,008,692	989,472	1,998,164	(221,964)	57%	58%

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	Interest Income								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	3,801,400		3,801,400	813,212	222,000	1,035,212	2,766,188	21%	58%
SW Networks	48,700		48,700	-	-	-	48,700	0%	58%
SW Outreach		-	-	-	-	-	-	#DIV/0!	58%
Total Statewide	3,850,100	-	3,850,100	813,212	222,000	1,035,212	2,814,888	0%	0%
<u>Restricted funds</u>									
SW Services		0	-	0	115	115	(115)	#DIV/0!	58%
SW Networks		0	-			-	0	#DIV/0!	58%
SW Outreach		0	-			-	0	#DIV/0!	58%
Total Statewide	-	-	-	-	115	115	(115)	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	3,801,400	0	3,801,400	813,212	222,115	1,035,327	2,766,073	21%	58%
SW Networks	48,700	0	48,700	0	0	0	48,700	0%	58%
SW Outreach	0	0	0	0	0	0	0	#DIV/0!	58%
Total Statewide	3,850,100	-	3,850,100	813,212	222,115	1,035,327	2,814,773	21%	58%

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	Tuition and Fees								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services		-	-			-	-	#DIV/0!	58%
SW Networks	972,400		972,400	907,412	60,000	967,412	4,988	93%	58%
SW Outreach	4,000		4,000	486	100	586	3,414	12%	58%
Total Statewide	976,400	-	976,400	907,898	60,100	967,998	8,402	0%	0%
<u>Restricted funds</u>									
SW Services		0	-	0	0	-	0	#DIV/0!	58%
SW Networks		0	-	0	0	-	0	#DIV/0!	58%
SW Outreach		0	-	7,568	7,058	14,626	(14,626)	#DIV/0!	58%
Total Statewide	-	-	-	7,568	7,058	14,626	(14,626)	#DIV/0!	58%
<u>Total current funds</u>									
SW Services	0	0	0	0	0	0	0	#DIV/0!	58%
SW Networks	972,400	0	972,400	907,412	60,000	967,412	4,988	93%	58%
SW Outreach	4,000	0	4,000	8,054	7,158	15,212	(11,212)	201%	58%
Total Statewide	976,400	-	976,400	915,466	67,158	982,624	(6,224)	94%	58%

University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010

UA Intra-Agency Receipts								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Expected %
Unrestricted funds								
SW Services	8,517,800		8,517,800	8,646,797	(254,002)	8,392,795	125,005	58%
SW Networks	3,061,100		3,061,100	3,043,375	-	3,043,375	17,725	58%
SW Outreach	370,000		370,000	66,494	53,000	119,494	250,506	58%
Total Statewide	11,948,900	-	11,948,900	11,756,666	(201,002)	11,555,664	393,236	0%
Restricted funds								
SW Services		0	-	0	0	-	0	58%
SW Networks		0	-			-	0	58%
SW Outreach		0	-			-	0	58%
Total Statewide	-	-	-	-	-	-	-	58%
Total current funds								
SW Services	8,517,800	0	8,517,800	8,646,797	(254,002)	8,392,795	125,005	58%
SW Networks	3,061,100	0	3,061,100	3,043,375	0	3,043,375	17,725	58%
SW Outreach	370,000	0	370,000	66,494	53,000	119,494	250,506	58%
Total Statewide	11,948,900	-	11,948,900	11,756,666	(201,002)	11,555,664	393,236	58%

**University of Alaska - Statewide
Revenue Detail by Allocation
Projected at January 31, 2010**

	Federal Receipts								
	Actual budget	Projected budget	Total budget	Actual revenue	Projected revenue	Total revenue	(Over) Under	Actual %	Expected %
<u>Unrestricted funds</u>									
SW Services	920,700	-	920,700			-	920,700	0%	58%
SW Networks	248,100	-	248,100			-	248,100	0%	58%
SW Outreach	880,400	-	880,400			-	880,400	0%	58%
Total Statewide	2,049,200	-	2,049,200	-	-	-	2,049,200	0%	0%
<u>Restricted funds</u>									
SW Services	264,000	0	264,000	52,077	31,902	83,979	180,021	20%	58%
SW Networks	129,000	0	129,000	36,806	122,923	159,729	(30,729)	29%	58%
SW Outreach	786,600	0	786,600	261,016	243,430	504,446	282,154	33%	58%
Total Statewide	1,179,600	-	1,179,600	349,899	398,255	748,154	431,446	30%	58%
<u>Total current funds</u>									
SW Services	1,184,700	0	1,184,700	52,077	31,902	83,979	1,100,721	4%	58%
SW Networks	377,100	0	377,100	36,806	122,923	159,729	217,371	10%	58%
SW Outreach	1,667,000	0	1,667,000	261,016	243,430	504,446	1,162,554	16%	58%
Total Statewide	3,228,800	-	3,228,800	349,899	398,255	748,154	2,480,646	11%	58%

Administrative Policy for Payment Card Industry (PCI) Compliance

POLICY:

It is the policy of the University of Alaska that all payment card transactions are executed in compliance with standards established by the Payment Card Industry Security Standards Council, which includes Visa, MasterCard, American Express, JCB International, and Discover. This policy does not apply to purchasing cards.

Departments are not permitted to transmit, process, or store payment card (either credit or debit card) information on University computers, servers, workstations, or on other electronic media (Email, Internet, Fax Machines, CD/DVD media, or flash drives). When cardholders visit university online sites they must be redirected to a PCI compliant (University approved) third party site to transmit, process, or store the payment card information, or be processed with applications adopted and supported by the University of Alaska.

SCOPE/APPLICABILITY:

This policy applies to all payment card merchants at the University. It applies to merchants accepting payment card payments using a payment card terminal connected to a data phone line as well as merchants processing or sending transactions over the Internet. Internet transactions include links on UA websites (which are processing payment cards for UA) redirecting customers to another website, use of software including Point-of Sale software on a computer to transmit, process, or store cardholder data, use of third party vendors to transmit, process, or store cardholder data information and use of wireless equipment. Scope of PCI also applies to the networks and phone lines being used for transmission and connectivity between workstations and other devices. The University Credit Card Merchant Policy requires each department that accepts payment cards be approved by the designated MAU Office and where applicable approved by the Office of the Chief Information Officer.

BACKGROUND:

As a result of payment card breaches and the resulting customer distrust in using credit and debit cards as a payment option, the payment card industry has formed a council called the Payment Card Industry (PCI) Security Standards Council which includes Visa, MasterCard, American Express, JCB International, and Discover. This PCI Council has developed Data Security Standards (DSS) to assure consumers that their brands and using payment cards are reliable and secure. These standards include controls for handling and restricting cardholder data, computer and Internet security, and reporting of a breach of cardholder data. These standards are mandated by the industry in order for a merchant to accept payment cards.

As a merchant, the University of Alaska must adhere to the security guidelines or face significant financial penalties. In addition to such penalties, any compromise of cardholder information undermines public confidence in the University's ability to maintain appropriate stewardship over confidential information entrusted to it. Lack of compliance in a single area of the University could result in fines and jeopardize the entire University's ability to accept payment cards in any area. Each department or unit will be responsible for achieving and maintaining compliance for their distinct merchant identification number (MID).

REQUIRED PRACTICES:

1. Store no electronic cardholder data anywhere.^{i ii}
2. Paper copies containing cardholder data will be destroyed within 1 business day at which point they will be cross-cut shred.ⁱ
3. If a Primary Account Number (PAN) is necessary to be stored, it must be truncated. The only acceptable display is no more than the first 6 and last 4 digits.ⁱⁱⁱ
4. PANs must not be sent via any electronic means.^{iv}
5. Access to cardholder data and PAN's (whether on paper or electronically) is restricted to only those with a need to know.^v Remote access to cardholder data is strictly prohibited.^{vi}

6. When storing paper cardholder data, it should be in a locked device (cabinet, safe, room, etc) with restricted access.^{vii}
7. Destruction of hardcopy (paper) must be cross-cut shred before disposing of it.ⁱⁱ
8. Movement of media containing cardholder data must be classified as confidential, logged, and authorized by the University.^{viii}
9. In order to transmit cardholder data, an electronic media must meet the following requirements:
 - a. Be isolated from all University software and University network resources (either through its own connection to the Internet, a virtual LAN, or a separate phone line).
 - b. Allowed to only access the University system wide authorized payment gateway, no other Internet resources should be accessible.
 - c. Anti-virus software must be installed and up to date, actively running, and capable of generating audit logs before any transactions are transmitted.^{ix}
 - d. Current security patches must be applied to machines before any transactions are transmitted.^x
 - e. Adhere to the Office of Information Technology Credit Card regulations which require the following:^{xi}
 - i. Establish, publish, maintain and disseminate a security policy.
 1. Annually, identify threats and vulnerabilities, and formal risk assessment.
 2. Annually, information security policy is reviewed and updated to reflect changes to business objectives or the risk environment.
 - ii. Daily operational security procedures must provide specifications regarding account maintenance procedures and log review procedures.
 - iii. Examine the critical employee facing technologies (such as modems and wireless).
 1. Explicit management approval (partial reference, R02.07.041)
 2. Authenticate devices with username and password or other authentication item (example token).
 3. List all devices and personnel access.
 4. Labeling of all devices with owner, contact information and purpose.
 5. List acceptable uses of technology. (partial reference, P02.07.050)
 6. Acceptable network locations for technologies.
 7. List company-approved products.
 8. Automatic disconnect of modem sessions after a period of inactivity.
 9. Activation of modems for vendors only when needed by vendors, with immediate deactivation use.
 10. When accessing cardholder data remotely via modem, prohibition of storage of cardholder data onto local hard drives, floppy disks, or other external media. Prohibition of cut-and-paste and print functions during remote access.
 - iv. Security policy must clearly define information security responsibilities for all employees and contractors.
 - v. Assignment of information security from a Chief Security Officer or other security-knowledgeable member of management.
 1. Require employees to acknowledge in writing that they have read and understood the security procedures.
 2. Monitor and analyze security alerts and information, and distribute to business management personnel.
 3. Establish, document, and distribute security incident response and escalation procedures to ensure timely and effective handling of all situations.
 4. Administer user accounts – account and authentication management
 5. Monitor and control access to data.
 - vi. Require employees to acknowledge in writing that they have read and understood the University's PCI DSS related policies and procedures.
 - vii. If cardholder data is shared with service providers then obtain and examine all contracts with the company and any other affiliated third party providers that would handle the cardholder data (for example, backup tape storage facilities, managed service providers such as Web hosting companies or security service providers, or those that receive data for fraud modeling purposes)

1. Service providers must contain provisions requiring adherence to the PCI DSS requirements.
 2. Confirm that the agreement includes an acknowledgement that the service provider is responsible for the security of cardholder data the provider possesses.
- f. Submit to quarterly external vulnerability scans conducted by an Approved Scanning Vendor (ASV).^{xii}
10. Each year employees handling cardholder data will be required to sign an agreement verifying their understanding of their responsibilities as it relates to security and PCI compliance.^{xiii}
 11. All merchants and third party vendors at the University must remain PCI Compliant at all times.
 12. All third parties with access to cardholder data must comply with both PCI-DSS and university's policies.
 13. All service providers must be Level 1 per the lists of validated service providers as maintained by Visa and MasterCard.^{xiv}
 14. All payment applications hosted on the University Systems must be on the PA-DSS list maintained by the PCI Council and an approved vendor by the University.^{xv}

NON-COMPLIANCE:

Merchants not complying with this administrative policy will lose the privilege to accept payment card payments until compliant. Additionally, fines may be imposed by the affected payment card brand in the case of a data breach; they could start at \$50,000 for the first offense and go higher depending on the decision made by the acquirer. Person in violation of this policy may be subject to a full range of sanctions, including the loss of computer or network access privileges, disciplinary actions, suspension, termination of employment and/or legal action. Some violations may constitute criminal offenses under local, state and federal laws. The University will carry out its responsibility to report such violations to the appropriate authorities.

ⁱ Requirement 3.1

ⁱⁱ Requirement 9.10

ⁱⁱⁱ Requirement 3.3

^{iv} Requirement 4.2

^v Requirement 9.9

^{vi} Requirement 7.1

^{vii} Requirement 9.6

^{viii} Requirement 9.7

^{ix} Requirement 5.2

^x Requirement 6.1

^{xi} Requirement 12.1, 12.3, 12.4, 12.5, 12.6, 12.8,

^{xii} Requirement 11.2

^{xiii} Requirement 12.6.2

^{xiv} Requirement 12.8

^{xv} Requirement 12.8.1

Retention Periods are Expressed in Years Unless Otherwise Noted					
Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
Payroll					
1	Pay Roll #1 - Manage w/ Employment Action #1 Series (Record series includes: deductions, payments of benefits, amounts and dates of wage payments, taxes, and other pay related records. Examples: automatic deposit request, campus card payroll deduction forms, union dues deduction forms, reallocation allowance documentation) For a complete list of records see MAU HR Document Inventory list. ¹	Termination year +6 years	Payroll or Personnel	Destroy 7 years after termination	FICA, IRS, others Davis-Bacon Act: 29 CFR 5.5 4y (after tax paid) UA R04.01.060B** (Government/Court ordered pay actions separate from official personnel file.) Business Process Reason: Records in Payroll series (payroll file) are maintained in conjunction with Employ series. Having a single trigger point for purging/destroying records that are managed together reduces cost and improved compliance with retention schedule. (extends retention)
2	Pay Roll #2 - Manage w/Employment Action #2 Series (Record series includes: employment documents required for retirement or estate verification. Examples: bonus letters, estate disbursal, journal vouchers, labor distribution [reallocation], retirement plan election forms) ¹	Termination year +50 years	Payroll/Personnel	Destroy 51 years after termination	FICA, IRS, others Davis-Bacon Act: 29 CFR 5.5 4y (after tax paid) Business Process Reason: Alaska Division of Retirement (DOR) Necessary for DOR required verifications for as long as DOR may require verification. (extends retention)
3	Pay-Timesheets - Manage w/Employment Action #2 Series ¹	Termination year +50 years	Payroll - Long-term storage prior to 2005 is on microfilm 2005 and after is digital in OnBase. UAS will continue to Microfilm.	Destroy 51 years after termination	FICA, IRS, others Davis-Bacon Act: 29 CFR 5.5 4y (after tax paid) Business Process Reason: Alaska Division of Retirement (DOR) Necessary for DOR required verifications for as long as DOR may require verification. (extends retention)

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
Personnel Records					
4	I-9 and supporting documents - Manage w/Employment Action #1 Series (Backup documents may include: Birth Certificate, Drivers License, Passport, Social Security Card, Tribal Card, VISA) ¹	Termination year +6 Years	Personnel	Destroy 7 years after termination	IRCA (Immigration Reform & Control Act) UA Regulation 04.01.060.B** "Immigration forms" separate from official personnel file. Term +3 years covers requirements for any employee. Business Process Reason: Records in I-9 Series are maintained in conjunction with Employment Series records usually in a separate file. Having a single trigger point for purging/destroying records that are managed together reduces cost and improved compliance with retention schedule. (extends retention).
5	Application Hired - Manage w/Employment Action #1 Series (File may include: Application, cover letters, and other miscellaneous documentation submitted with application) ¹	Termination year +6 years	Recruitment/Personnel - manage w/Employment Action # 1 Series at the time of hire becomes part of Personnel record	Destroy 7 years after termination	Executive Order 11246/OFCCP Rules, ADEA and others. 2 year retention Business Process Reason: If applicant is hired, these items become part of the Hire Record and maintained with Employment Action #1 Series records in the personnel file (Per UA Regulation 04.01.060 hire record required part of Personnel Record) (extends retention past the minimum 2 years)
6	Demographic - Manage w/Employment Action #1 Series (File may include: Change forms, personnel data) ¹	Termination year +6 years	Personnel	Destroy 7 years after termination	IRS requires 4 years retention after taxes are paid. Business Process Reason: Demographic Series records are maintained in conjunction with employment action #1 Series records in the personnel file. (extends retention past the minimum 4 years)
7	Employment Action #1 Series (Record series may include documents related to employment status or conditions such as rate of pay, promotions, transfers, terminations, and employee related data. Examples include: Agreement to maintain confidentiality, International Forms, release time approval, Social Security Card) ¹	Termination year + 6 years	Personnel	Destroy 7 years after termination	Executive Order 11246/OFCCP Rules, Alaska Statute 18.80.220, and others Business Process Reason: Even though Employee Retirement Income Security Act (ERISA) does not apply to UA 6 years after termination is still a good business practice and a standard business practice.

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
8	Employment Action #2 Series (Record series includes employment documents required for retirement or estate verification. May include: beneficiary designations, hiring proposal, job forms, promotion approval, resignation letters, sabbatical, TRS verification, bonus letters) ¹	Termination year +50 years	Personnel transfer to records center after term + 6 years	Destroy 51 years after termination	Executive Order 11246/OFCCP Rules, Alaska Statute 18.80.220, and others require a 2 year minimum retention Business Process Reason: Alaska Division of Retirement (DOR) Necessary for DOR required verifications for as long as DOR may require verification. (extends Retention)
9	Ethics Documents - Manage w/Employment Action #1 Series (Record series may include: Ethics Determination Request or Ethics Disclosure for: Employment of Immediate Family Member Disclosure, Employment or Service Outside UA Disclosure, Interest in Grants, Contract etc, Notification of Receipt of Gift.) ¹	Termination year +6 years	Personnel	Destroy 7 years after termination	2 AAC 07.950 (Ethics Act) Alaska Statute 18.80.220 requires a 2 year minimum retention. Business Process Reason: General Counsel recommends retention at least until Termination. Retention extended for improved efficiency, reduced costs , and increased compliance with schedule. Records purged at the same trigger point after termination as the rest of the personnel file. (As of Fall 2009, General Counsel has pushed retention of ethics documents to the MAU level.)
10	Medical # 1 - Manage w/Employment Action #1 Series (File may include: Notice of previous injury/illness, leave share program donation form, leave share transfer program, UAFT disability leave bank approval, workers compensation form [copies]) ¹	Termination year +6 Years	Personnel Risk Management maintains original copies of workers compensation documents	Destroy 7 years after termination	Occupational Safety & Health Administration (OSHA): 29 CFR 1904.1 UA Regulation 04.01.060B** Additional Restrictions on access, disclosure, and tracking. HIPAA does not apply to employment related medical information (FML, Workers Compensation, Life Insurance Eligibility) It does apply to Health Care election and dependent forms. Business Process Reason: Medical Series #1 records maintained in conjunction with Employment #1 Series records (usually in separate files). Retention extended for improved efficiency, reduced costs, and increased compliance with schedule. Records purged at trigger point after termination instead of on yearly basis. At UA Medical records related to exposure are maintained by Risk/Safety office and not in the personnel File thus removing the requirement for long term retention based on OSHA.

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
11	Medical #2 - Manage w/Employment Action #2 Series (Record series includes employment documents required for retirement verification and includes sick leave without pay.) ¹	Termination year +50 years	Personnel	Destroy after 51 years after termination	Alaska Division of Retirement (DOR) Leave without pay (LWOP) records needed for DOR retirement verification for as long as DOR may require verification. (extends retention). At UA medical records related to exposure are maintained by Risk/Safety office. UA Regulation 04.01.060.B** Additional restrictions on access, disclosure, and tracking. HIPAA does not apply to employment related medical information (FML, Workers Compensation, Life Insurance eligibility).

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
12	Performance - Manage w/Employment Action #1 Series Records Series contains documents related to employee performance and may include: letters of reprimand, commendations, performance evaluations, and disciplinary actions. ¹	Termination year +6 years	Personnel	Destroy 7 years after termination	Fair Labor Standards Act (FLSA) requires 2 year minimum retention Business Process Reason: Performance Series records are maintained with Employment Series records in the Personnel file. (Per UA Regulation 04.01.060 performance records are maintained in the personnel file.)
13	Personnel Training Records - Manage w/employment action #1 Series (Record series includes documents related to selection for training, training certificates related to employment) ¹	Current year +3 years	Personnel	Destroy after 4 years	Fair Labor Standards Act (FLSA): 29 CFR Part 516
14	Polygraph (All records related to a polygraph test in relation to an investigation including documentation of employee's access to the property/person being investigated.)	Current year +3 years	Personnel	Destroy after 4 years	Employee Polygraph Protection Act 29 CFR 801.30 & 801.35**
15	Substance - (Record Series includes documents related to pre-employment drug testing and selection for random drug testing and results) ¹	Current year +5 years	UAA, UAF, SW - MAU HR UAS - Risk Management	Destroy after 6 years	49 CFR 382.401 This regulation only applies to CDL drivers.
Recruitment					
17	Application - Manage w/Recruitment (Record Series includes: applicant related documents for persons not hired. May include: application, cover letter.) ¹	Current year +2 years	Recruitment	Destroy after 3 years	Executive Order 11246/OFCCP Rules, ADEA and others. 2 year retention Business Process Reason: Applicant not hired records are maintained in the recruitment file along with Recruitment Series records. Both Series have same retention length.
18	Recruitment (Record Series includes recruitment documents. Examples: Job Postings, Position Requisitions, Request to Recruit, recruitment advertising, EEO summary, review and candidate selection documents, screening notes scoring sheets) ¹	Current year +2 years	Recruitment	Destroy after 3 years	Rehabilitation Act: 41 CFR60-741.8 (a), (b)

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
Miscellaneous					
19	Human Resources Document Inventory list	Until Superseded	SW HR Operations	Destroy after superseded by new or updated inventory	
20	Human Resources Records Retention Procedures	Until Superseded	SW HR Operations	Destroy after superseded by new or updated procedures	
21	Issues (Documentation of employee related issues.)	Until administrative need is met	HR Directors	Destroy after administrative need is met.	Business process reason: Issue file documentation is used for possible future employment action or defending litigation.
22	Regulation Related Unrepresented Employees- Complaints, Grievances, Investigations - Official File	Until administrative need is met Indefinitely - Files related to historical interpretations of CBAs or UA Regulations	Official Records - hold determined by hearing officer as specified in UA Regulations	Destroy after administrative or management need is met	ADA (20CFR 1602.20); ADEA (29USC 626(a); 29 CFR 1627.3) Title VII: (29CFR 1602.14, 29 CFR 1602.21) SWHR Labor and Employee Relations is the official record holder. Business Process Reason: Records in this series vary in the length of time they are needed for business use. May be retained indefinitely for documentation of historical interpretations of Collective Bargaining Agreements (CBA) or UA Regulations.
23	Regulation Related Unrepresented Employees- Complaints, Grievances, Investigations - Working Copy	Until administrative need is met	MAU HR, Chancellor's Office, SW Labor Relations (LER) and OGC may have working copies. It depends on the Campus	Destroy after administrative or management need is met.	N/A: retention of working copies not legally required. MAU HR, Chancellor's Office, SWHR Labor Relations (LER), and Office of the General Counsel (OGC) may have a working copy for file. Business Process Reason: Business use of working copies vary by case and MAU.

Item No.	Record Title	Minimal Retention Time	Custodian Location	Disposition	Description/Details
24	Represented Employees - Complaints, Grievances, Investigations - Official File	Until administrative need is met Indefinitely - Files related to historical interpretations of CBAs or UA Regulations	SWHR Labor and Employee Relations	Destroy after administrative or management need is met	ADA (20CFR 1602.20); ADEA (29USC 626(a); 29 CFR 1627.3) Title VII: (29CFR 1602.14, 29 CFR 1602.21) SWHR Labor and Employee Relations is the official record holder. Business Process Reason: Records in this series vary in the length of time they are needed for business use. May be retained indefinitely for documentation of historical interpretations of Collective Bargaining Agreements (CBA) or UA Regulations.
25	Represented Employee - Complaints, Grievances, Investigations - Working Copy	Until administrative need is met	MAU HR, Chancellor's Office, and OGC may have working copies. It depends on the Campus	Destroy after administrative or management need is met	N/A: retention of working copies not legally required. MAU HR, Chancellor's Office, and Office of the General Counsel (OGC) may have a working copy for case. Business Process Reason: Business use of working copies vary by case and MAU.
Foot Note	¹ For a complete list of records see HR Document inventory list. http://www.alaska.edu/hr/procedures/PDF/reference.reference.doc_inv.pdf .				

As of 2/24/2010

23	Substance - Supervisor - (Record Series includes training calendar and attendance roster for substance abuse signs and symptoms training) ¹	TBD	UAA, UAF - MAU HR UAS - Risk Management	Destroy after 7 years
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49 CFR 382.401 This regulation only applies to CDL drivers.

Business Process Reason: Substance-Supervisor Series records are maintained in the same with Substance Series records in the Drug Testing File (extends Retention) **HR**
Records Tm must determine.