The UAF Faculty	Senate passed	the following	at Meeting #213	on March 7	2016:
The Oth Lacuit	y Dellate passed		at Ivicothing 11213	On march /.	2010.

MOTION:

The UAF Faculty Senate moves to approve the Unit Criteria for the Geophysical Institute.

EFFECTIVE: Upon Chancellor Approval

RATIONALE: The Unit Criteria Committee reviewed the unit criteria which were submitted from the Geophysical Institute. With some revisions, the unit criteria were found to be consistent with UAF guidelines.

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	Webasim'ta Misaz President, UAF Faculty Senate			
APPROVAL: Changellor's Office	DATE: 4/2A/14			
DISAPPROVED:Chancellor's Office	DATE:			

April 28, 2016

UAF REGULATIONS FOR THE APPOINTMENT AND EVALUATIONS OF FACULTY

AND GEOPHYSICAL INSTITUTE (GI) UNIT CRITERIA, STANDARDS, AND INDICES

THE FOLLOWING IS AN ADAPTATION OF UAF AND BOARD OF REGENTS' CRITERIA FOR ANNUAL REVIEW, PRE-TENURE REVIEW, POST-TENURE REVIEW, PROMOTION, AND TENURE, SPECIFICALLY ADAPTED FOR USE IN EVALUATING THE FACULTY OF THE GEOPHYSICAL INSTITUTE (GI) DEPARTMENT'S. ITEMS IN BOLDFACE ITALICS ARE THOSE SPECIFICALLY ADDED OR EMPHASIZED BECAUSE OF THEIR RELEVANCE TO THE DEPARTMENT'S/S' FACULTY, AND BECAUSE THEY ARE ADDITIONS TO UAF REGULATIONS.

CHAPTER I

Purview

The University of Alaska Fairbanks document, "Faculty Appointment and Evaluation Policies", supplements the Board of Regents (BOR) policies and describes the purpose, conditions, eligibility, and other specifications relating to the evaluation of faculty at the University of Alaska Fairbanks (UAF). Contained herein are regulations and procedures to guide the evaluation processes and to identify the bodies of review appropriate for the university.

The university, through the UAF Faculty Senate, may change or amend these regulations and procedures from time to time and will provide adequate notice in making changes and amendments.

These regulations shall apply to all of the units within the University of Alaska Fairbanks, except in so far as extant collective bargaining agreements apply otherwise.

The Provost is responsible for coordination and implementation of matters relating to procedures stated herein.

CHAPTER II

Initial Appointment of Faculty

A. Criteria for Initial Appointment.

Minimum degree, experience and performance requirements are set forth in UAF Faculty Policies, Chapter IV. Exceptions to these requirements for initial placement in academic rank or special academic rank positions shall be submitted to the chancellor or chancellor's designee for approval prior to a final selection decision.

B. Academic Titles.

Academic titles must reflect the discipline in which the faculty are appointed and reside within a specific discipline.

C. Process for Appointment of Faculty with Academic Rank.

Deans of schools and colleges, and directors when appropriate, in conjunction with the faculty in a unit shall observe procedures for advertisement, review and selection of candidates to fill any vacant faculty positions. These procedures are set by UAF Human Resources and the Campus Diversity and Compliance (AA/EEO) office and shall provide for participation in hiring by faculty and administrators as a unit.

D. Process for Appointment of Faculty with Special Academic Rank.

Deans and/or directors, in conjunction with the faculty in a unit, shall establish procedures for advertisement, review, and selection of candidates to fill any faculty positions as they become available. Such procedures shall be consistent with the university's stated AA/EEO policies, and shall provide for participation in hiring by faculty and administrators in the unit.

E. Following the selection process.

The dean or director shall appoint the new faculty member and advise him/her of the conditions, benefits, and obligations of the position. If the appointment is to be at the professor level, the dean/director must first obtain the concurrence of the chancellor or chancellor's designee.

F. Letter of Appointment.

The initial letter of appointment shall specify the nature of the assignment, the percentage emphasis that is to be placed on each of the parts of the faculty responsibility, mandatory year of tenure review, and any special conditions relating to the appointment.

This letter of appointment establishes the nature of the position and, while the percentage

of emphasis for each part may vary with each workload distribution as specified in the annual workload agreement document, the part(s) defining the position may not.

CHAPTER III.

Periodic Evaluation of Faculty

THOSE FACULTY OF THE GEOPHYSICAL INSTITUTE WHO HOLD A TENURE-TRACK JOINT APPOINTMENT WITH A UAF COLLEGE OR SCHOOL ARE EVALUATED UNDER THE UNIT CRITERIA OF THE RESPECTIVE COLLEGE OR SCHOOL.

A. General Criteria

Criteria as outlined in "UAF Faculty Appointment and Evaluation Policies" Chapter IV, evaluators may consider, but shall not be limited to, whichever of the following are appropriate to the faculty member's professional obligation: mastery of subject matter; effectiveness in teaching; achievement in research, scholarly, and creative activity; effectiveness of public service; effectiveness of university service; demonstration of professional development and quality of total contribution to the university.

For purposes of evaluation at UAF, the total contribution to the university and activity in the areas outlined above will be defined by relevant activity and demonstrated competence from the following areas: 1) effectiveness in teaching; 2) achievement in scholarly activity; and 3) effectiveness of service.

Bipartite Faculty

Bipartite faculty are regular academic rank faculty who fill positions that are designated as performing two of the three parts of the university's tripartite responsibility.

The dean or director of the relevant college/school shall determine which of the criteria defined above apply to these faculty.

Bipartite faculty may voluntarily engage in a tripartite function, but they will not be required to do so as a condition for evaluation, promotion, or tenure.

BIPARTITE FACULTY MEMBERS AT THE GEOPHYSICAL INSTITUTE PREDOMINANTLY FILL POSITIONS PERFORMING IN THE RESEARCH AND SERVICE COMPONENTS OF THE UNIVERSITY'S MISSION, BUT MAY HAVE A TEACHING COMPONENT.

SPECIFIC SCIENCES CRITERIA FOR TEACHING PERFORMANCE:

BIPARTITE FACULTY WHO ENGAGE IN TEACHING AS AN OVERLOAD SHOULD NOT BE REQUIRED TO DO SO AS A CONDITION FOR EVALUATION OR PROMOTION. EVALUATIONS MUST BE CONSISTENT WITH AN

INDIVIDUAL FACULTY MEMBER'S WORKLOAD AGREEMENT. IF A RESEARCH FACULTY MEMBER HAS AN ASSIGNED TEACHING COMPONENT AS PART OF THEIR WORKLOAD, TEACHING SHOULD BE PART OF THE EVALUATION IN THE SAME PROPORTION AS IT IS TO THEIR WHOLE WORKLOAD FOR THE ENTIRE REVIEW PERIOD.

B. Criteria for Instruction

A central function of the university is instruction of students in formal courses and supervised study. Teaching includes those activities directly related to the formal and informal transmission of appropriate skills and knowledge to students. The nature of instruction will vary for each faculty member, depending upon workload distribution and the particular teaching mission of the unit. Instruction includes actual contact in classroom, correspondence or electronic delivery methods, laboratory or field and preparatory activities, such as preparing for lectures, setting up demonstrations, and preparing for laboratory experiments, as well as individual/independent study, tutorial sessions, evaluations, correcting papers, and determining grades. Other aspects of teaching and instruction extend to undergraduate and graduate academic advising and counseling, training graduate students and serving on their graduate committees, particularly as their major advisor, curriculum development, and academic recruiting and retention activities.

1. Effectiveness in Teaching

Evidence of excellence in teaching may be demonstrated through, but not limited to, evidence of the various characteristics that define effective teachers. *WHEN EVALUATING THE QUALITY OF THE TEACHING, CONSIDERATION SHOULD BE GIVEN TO THE NATURE OF THE COURSE.* Effective teachers

- a. are highly organized, plan carefully, use class time efficiently, have clear objectives, have high expectations for students;
- b. express positive regard for students, develop good rapport with students, show interest/enthusiasm for the subject;
- emphasize and encourage student participation, ask questions, frequently monitor student participation for student learning and teacher effectiveness, are sensitive to student diversity;
- d. emphasize regular feedback to students and reward student learning success;
- e. demonstrate content mastery, discuss current information and divergent points of view, relate topics to other disciplines, deliver material at the appropriate level;
- f. regularly develop new courses, workshops and seminars and use a variety of methods of instructional delivery and instructional design;

- g. may receive prizes and awards for excellence in teaching;
- h. DEMONSTRATE EFFECTIVE MENTORING OF UNDERGRADUATE AND/OR GRADUATE STUDENTS.

2. Components of Evaluation

Effectiveness in teaching will be evaluated through information on formal and informal teaching, course and curriculum material, recruiting and advising, training/guiding graduate students, etc., provided by:

- a. systematic student ratings, i.e. student opinion of instruction summary forms,
 and at least two of the following:
- b. narrative self-evaluation,
- c. peer/department chair classroom observation(s),
- d. peer/department chair evaluation of course materials.

SPECIFIC CRITERIA FOR TEACHING PERFORMANCE:

- > ASSISTANT PROFESSOR: EVIDENCE OF TEACHING ABILITY AND A COMMITMENT TO A QUALITY AND CURRENT **TEACHING** PROGRAM. STUDENT **EVALUATIONS** SUMMARY STATISTICS SHOULD SHOW THAT THE MAJORITY OF STUDENTS RATE COURSES FAVORABLY, AND, IF NOT, THERE SHOULD BE A DEFINITE UPWARD TREND SHOWING IMPROVEMENT OVER TIME. COURSE MATERIALS SUCH AS SYLLABI, EXAMS, PROJECTS AND HOMEWORK SHOULD **COURSE** REFLECT THE **DESCRIPTION** AND BE CONTEMPORARY. THE FACULTY MAY PROVIDE EVIDENCE FOR ACTIVE SUPPORT OF STUDENT RESEARCH AT THE UNDERGRADUATE AND/OR GRADUATE LEVEL.
- THE RECORD MUST SHOW THAT > ASSOCIATE PROFESSOR: TEACHING **MATERIAL CONTEMPORARY** IS RELEVANT AND THAT THE PRESENTATIONS STIMULATE THE LEARNING PROCESS. EVIDENCE OF THE EXPECTED QUALITY OF INSTRUCTIONAL PERFORMANCE MAY INCLUDE, BUT IS **COURSE** AND/OR **CURRICULUM** LIMITED TO, DEVELOPMENT, NOVEL APPROACHES TO INSTRUCTION, VERSATILITY IN INSTRUCTIONAL ASSIGNMENTS, EFFECTIVE GUIDING AND MENTORING OF INDIVIDUAL STUDENTS, OR **TEACHING** HIGH **QUALITY STUDENT** OR OTHER

EVALUATIONS (E.G. PEER-EVALUATION). THE RECORD MAY ALSO SHOW ACTIVE AND SUCCESSFUL MENTORSHIP IN RESEARCH AT THE UNDERGRADUATE AND/OR GRADUATE LEVEL. SUCH MENTORSHIP CAN INCLUDE MEMBERSHIP ON GRADUATE ADVISORY COMMITTEES.

CONTRIBUTIONS > PROFESSOR: **SIGNIFICANT** TO THE INSTRUCTIONAL **PROGRAM** ARE EXPECTED. THESE CONTRIBUTIONS MAY INCLUDE MAJOR IMPROVEMENTS IN COURSE AND CURRICULUM OFFERINGS, SECURING FUNDS TO ENHANCE INSTRUCTIONAL AND/OR LABORATORY SETTINGS, LEADERSHIP IN DEPARTMENTAL LEVEL CURRICULUM CORE REVISIONS, STUDENT LEARNING OUTCOME ASSESSMENTS, **STUDENT** ADVISING, AND **MENTORING** OF **GRADUATE** STUDENTS TO THE COMPLETION OF THEIR DEGREE. FACULTY MUST SHOW A CONSISTENT RECORD OF HIGH-QUALITY TEACHING.

C. Criteria for Research, Scholarly, and Creative Activity

Inquiry and originality are central functions of a land grant/sea grant/space grant university and all faculty with a research component in their assignment must remain active as scholars. Consequently, faculty are expected to conduct research or engage in other scholarly or creative pursuits that are appropriate to the mission of their unit, and equally important, results of their work must be disseminated through media appropriate to their discipline. Furthermore, it is important to emphasize the distinction between routine production and creative excellence as evaluated by an individual's peers at the University of Alaska and elsewhere.

1. Achievement in Research, Scholarly and Creative Activity

Whatever the contribution, research, scholarly or creative activities must have one or more of the following characteristics:

- a. They must occur in a public forum.
- b. They must be evaluated by appropriate peers.
- c. They must be evaluated by peers external to this institution so as to allow an objective judgment.
- d. They must be judged to make a contribution.

2. Components of Research, Scholarly and Creative Activity

Evidence of excellence in research, scholarly, and creative activity may be demonstrated through, but not limited to:

a. Books, reviews, monographs, bulletins, articles, proceedings and other scholarly works published by reputable journals, scholarly presses, and

- publishing houses that accept works only after rigorous review and approval by peers in the discipline.
- b. Competitive grants and contracts to finance the development of ideas, SUPPORT RESEARCH AND/OR CONTRIBUTE TO THE SUPPORT AND DEVELOPMENT OF RESEARCH PARTNERSHIPS AND RESEARCH FACILITIES, COLLABORATIONS AND CAMPAIGNS, these grants and contracts being subject to rigorous peer review and approval.
- c. Presentation of research papers before learned societies that accept papers only after rigorous review and approval by peers.
- d. Exhibitions of art work at galleries, selection for these exhibitions being based on rigorous review and approval by juries, recognized artists, or critics.
- e. Performances in recitals or productions, selection for these performances being based on stringent auditions and approval by appropriate judges.
- f. Scholarly reviews of publications, art works and performance of the candidate.
- g. Citations of research in scholarly publications.
- h. Published abstracts of research papers.
- i. Reprints or quotations of publications, reproductions of art works, and descriptions of interpretations in the performing arts, these materials appearing in reputable works of the discipline.
- j. Prizes and awards for excellence of scholarship.
- k. Awards of special fellowships for research or artistic activities or selection of tours of duty at special institutes for advanced study.
- l. Development of processes or instruments useful in solving *RESEARCH* problems, such as computer programs, and systems for the processing of data, *SAMPLE PREPARATION*, genetic plant and animal material, and where appropriate obtaining patents and/or copyrights for said development.
- m. MENTORING STUDENTS IN RESEARCH AND LABORATORY TECHNIQUES.
- n. CONDUCTING EXPERIMENTS AND PRODUCING SCIENTIFIC DATA USED IN SCHOLARLY PUBLICATIONS.

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SPECIFIC CRITERIA FOR RESEARCH PERFORMANCE:

Public service is intrinsic to the land grant/sea grant/space grant tradition, and is a fundamental part of the university's obligation to the people of its state. In this tradition, faculty, providing their professional expertise for the benefit of the university's external constituency, free of charge, is identified as "public service." The tradition of the university itself provides that its faculty assumes a collegial

D. Criteria for Public and University Service AND PROFESSIONAL SERVICE

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GRADUATION.

PROFESSIONAL PLACEMENT OF STUDENTS AFTER PUBLICATIONS, STUDENT AWARDS OR GRANT SUCCESS, STUDENT, STUDENT PRESENTATIONS AND THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE AND/OR UNDERGRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY'S FIELD OF EXPERTISE WHICH CAN BE CRADUATE STUDENTS IN THE FACULTY OF THE FACULTY O

obligation for the internal functioning of the institution; such service is identified as "university service."

1. Public Service

Public service is the application of teaching, research, and other scholarly and creative activity to constituencies outside the University of Alaska Fairbanks. It includes all activities which extend the faculty member's professional, academic, or leadership competence to these constituencies. It can be instructional, collaborative, or consultative in nature and is related to the faculty member's discipline or other publicly recognized expertise. Public service may be systematic activity that involves planning with clientele and delivery of information on a continuing, programmatic basis. It may also be informal, individual, professional contributions to the community or to one's discipline, or other activities in furtherance of the goals and mission of the university and its units. Such service may occur on a periodic or limited-term basis. Examples include, but are not limited to:

- a. Providing information services to adults or youth.
- b. Service on or to government or public committees.
- c. Service on accrediting bodies.
- d. Active participation in professional organizations.
- e. Active participation in discipline-oriented service organizations.
- f. Consulting.
- g. Prizes and awards for excellence in public service.
- h. Leadership of or presentations at workshops, conferences, or public meetings.
- i. Training and facilitating.
- j. Radio and TV program *CONTRIBUTIONS*, *INCLUDING INTERVIEWS*, newspaper articles and columns, publications, newsletters, films, computer applications, teleconferences and other educational media.
- k. Judging and similar educational assistance at science fairs, state fairs, and speech, drama, literary, and similar competitions.
- 1. PROVIDING TOURS OF RESEARCH FACILITIES TO THE GENERAL PUBLIC (MAY ALSO BE COUNTED AS UNIVERSITY OR PROFESSIONAL SERVICE DEPENDING ON THE AUDIENCE).

2. University Service

University service includes those activities involving faculty members in the governance, administration, and other internal affairs of the university, its colleges, schools, and institutes. It includes non-instructional work with students and their organizations. Examples of such activity include, but are not limited to:

- a. Service on university, college, school, institute, *RESEARCH GROUP*, or departmental committees or governing bodies.
- b. Consultative work in support of university functions, such as expert assistance for specific projects.
- c. Service as department chair or term-limited and part-time assignment as assistant/associate dean in a college/school.
- d. Participation in accreditation reviews.
- e. Service on collective bargaining unit committees or elected office.
- f. Service in support of student organizations and activities.
- g. Academic support services such as library and museum programs.
- h. Assisting other faculty or units with curriculum planning and delivery of instruction, such as serving as guest lecturer.
- i. Mentoring INCLUDING SERVING AS NEW FACULTY MENTORS.
- i. Prizes and awards for excellence in university service.
- k. SERVING ON COMMITTEES THAT REPRESENT THE UNIVERSITY AT OTHER PROFESSIONAL INSTITUTIONS.
- I. MANAGEMENT AND DIRECTION OF RESEARCH FACILITIES AND INFRASTRUCTURE THAT MAY INCLUDE DEVELOPMENT OF RESEARCH PARTNERSHIPS, RESEARCH EXPEDITIONS AND RESEARCH AND CAMPAIGNS AND MAINTAINING SCIENTIFIC EQUIPMENT.
- m. CURATING SAMPLES AND DATA AND/OR THE MANAGEMENT AND DEVELOPMENT OF FORMALLY RECOGNIZED UNIVERSITY COLLECTIONS THAT SERVE AS RESEARCH RESOURCES FOR STUDENTS AND RESEARCHERS AT UNIVERSITY, STATE, NATIONAL AND INTERNATIONAL LEVELS.

3. Professional Service

- a. Editing or refereeing articles or proposals for professional journals or organizations.
- b. Active participation in professional organizations.
- c. Active participation in discipline-oriented service organizations.
- d. Committee chair or officer of professional organizations.
- e. Organizer, session organizer, or moderator for professional meetings.
- f. Service on a national or international review panel or committee.
- g. SERVING AS A MENTOR/ADVISOR, COMMITTEE MEMBER OR EXTERNAL EXAMINER FOR STUDENTS AT OTHER INSTITUTIONS.

4. Evaluation of Service

Each individual faculty member's proportionate responsibility in service shall be reflected in annual workload agreements. In formulating criteria, standards and indices for evaluation, promotion, and tenure, individual units should include examples of service activities and measures for evaluation appropriate for that unit. Excellence in public and university, *AND PROFESSIONAL* service may be demonstrated through, e.g., appropriate letters of commendation, recommendation, and/or appreciation, certificates and awards and other public means of recognition for services rendered.

MEASURES OF EFFECTIVENESS OF SERVICE PERFORMANCE INCLUDE (BUT ARE NOT LIMITED TO):

- O ACCOMPLISHMENTS OF THE EFFORT OF ORGANIZATION TO WHICH SERVICE WAS PROVIDED.
- O OFFICIAL RECOGNITION OF QUALITY OF SERVICE (E.G., AWARDS, LETTERS OF RECOMMENDATION).
- O OPINIONS OF CLIENTS SERVED AND/OR COLLEAGUES INVOLVED IN DELIVERY OF SERVICE.

SPECIFIC CRITERIA FOR SERVICE PERFORMANCE:

- > ASSISTANT PROFESSOR: NONE IN ADDITION TO UAF CRITERIA.
- > ASSOCIATE PROFESSOR: POSITIVE CONTRIBUTION TO RESEARCH GROUP AND/OR UNIVERSITY MATTERS.

GENERAL PUBLIC AND/OR ALASKAN TEACHERS.

PROGRAMS ARE EXPECTED, INCLUDING SERVICE TO THE DEVELOPMENT OF RESEARCH GROUP LAND/OR UNIVERSITY AREA IS MANDATORY. SIGNIFICANT CONTRIBUTIONS TO THE SERVICE TO THE SERVICE OF LEADERSHIP IN THE SERVICE.

EXECTED.

AND/OR EFFECTIVE SERVICE TO THE PROFESSION ARE EFFECTIVE PROFESSIONAL CONTRIBUTIONS TO THE PUBLIC, TO

Geophysical Institute Unit Criteria Approved March 7, 2016 at Faculty Senate Meeting #213.