



Research Performance Progress Report (RPPR)

Overview

Office of Grants and Contracts Administration

Overview on RPPR



NIH Implementation of RPPR

- OMB mandated that federal agencies implement a federal-wide Research Performance Progress Report (RPPR) for required annual or other interim performance reporting on research grant and cooperative agreement awards.
- Purpose is to standardize recipient reporting on federally-funded research projects.
- NIH started RPPR use for Streamlined Non-competing Award Process (SNAP) and Fellowship progress reports beginning 10/19/2012.

Some Notes about the NIH RPPR

- On the “RPPR” tab in the NIH Commons.
- PI chooses whether to prepare an eSNAP or RPPR before starting the report. Only way to change this is through the Commons Helpdesk after one is chosen.
- The format the PI sees for completion will automatically include any additional data that is required for the type of award (e.g., RCR and Sponsor Comments for F-series).
- Components of the RPPR are on tabs at the top of the screen, just like eSNAP sections.
- Attachments must be .pdf (as with other NIH submissions).

Some Notes about the NIH RPPR

- Instructions (and other great info) on the NIH website:
<http://grants.nih.gov/grants/rppr/>
- Some instructions are general, straight from the OMB format. HHS specific info is included, under the general guidance, using the HHS logo (looks like the SF-424 instructions).
- There are blue question marks throughout the RPPR to click on for additional info. Links to a help screen.
- Review the final PDF before submitting. That PDF is what NIH sees.
- HRSA is not participating in the NIH version of the RPPR.
- Change in effort is the only place in RPPR where it is appropriate to submit a prior approval request as part of the RPPR. All others require separate requests to NIH's Office of Grants Management via OGCA.

Some Notes about the NIH RPPR

- Sometimes whole sections are “Not Applicable”. If they DO apply for the grant, the section will be available.
- Separate section in report to address supplements with the ability to add info on multiple supplements.
- Section on Foreign Components:
 - First tier subawards to foreign institutions
 - Total amount by country, not by individual foreign component
- Section for Special Reporting Required by terms in NoA:
 - Includes a link to NoA
 - Able to upload multiple attachments, if necessary for the particular project
- When other support (OS) is required, be sure to include the current grant in the OS (different from JIT)

Publications and the RPPR

- There is a link between RPPR and MyNCBI for publications:
 - Checks for compliance with Public Access Policy, submission to Pub Med.
 - Able to refresh for updates while RPPR is in progress.
 - System allows us to submit RPPR with noncompliant publication(s), with a warning message.
 - PI receives automated email to address the noncompliance.
- If submitted with noncompliant publications:
 - Email to PI will contain instructions that the PI needs to follow to complete the Progress Report Additional Materials (PRAM) via the NIH Commons.
 - Only PI can initiate this action in the Commons.
 - Link appears automatically when RPPR with non-compliant publications is submitted.
 - PI enters info, routes to OGCA, and OGCA submits. This is like most other Commons submissions.

Publications and the RPPR

- Keep my myNCBI account up to date throughout the year, and it will be very easy to do this section of the RPPR



RPPR/eSNAP: What's the same?

- NIH systems pre-populate information
 - PD/PI info, grant number, project title/period
 - My NCBI/publications
- Substance
 - Progress, study results, significance, changes
- Information required by NIH policies/compliance
 - Human subjects education, inclusion enrollment, human embryonic stem cells

RPPR/eSNAP: What's new?

- **Separate screens** for each component (8)
- **Format** for answering questions
 - Checkbox
 - Text box
 - Only accept rich text format
 - Do not enter any symbols
 - Character limit
- PDF uploads
- “Nothing to Report”
 - If the question is not applicable to your grant or if you have no change or progress to report

RPPR/eSNAP: What's new? (continued)

- RPPR data fields are specific to your grant type and grant number only.
 - All active sections are or can be relevant to your grant.
 - Data fields not relevant to your grant will be grayed out or labeled “Not Applicable.”
- After you have submitted the first RPPR, future applications will be pre-populated.
 - Information from other databases, proposals, previous progress reports

RPPR/eSNAP: What's new? (continued)

- **Foreign component information**
 - Subaward dollars
 - Organizational affiliation of personnel
- **Participants**
 - Effort rounded to nearest whole person month
 - Prior approval request for reduction of >25% for PD/PI or other individual designated on NoA
 - Only applicable if the change coincides with the budget period; otherwise, separate prior approval and acceptance required before reducing

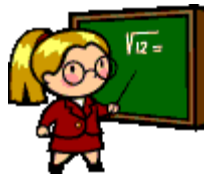
7 Types of RPPR: Examples of Differences

- R01-like
 - Standard RPG
 - Basis for development of other types
- Individual CDA
 - Mentor report
 - RCR reporting
- Training
 - Trainee Diversity Report
 - RCR reporting
 - Tables 12A/B & program statistics, if applicable

7 Types of RPPR:

Examples of Differences *(continued)*

- Fellowship
 - Sponsor comments
 - RCT reporting
- Education (e.g., R13, R15, T36)
 - No reporting on technology or invention products, resource sharing, impact on infrastructure, tech transfer



7 Types of RPPR:

Examples of Differences *(continued)*



- SBIR/STTR
 - Report on technology transfer, product development status, and commercialization plan
- Complex
 - Parent reporting similar to R01-like
 - Project/core(s) reporting on accomplishments, technologies, products, resource sharing, publications, hESCs, human subjects

Available RPPR's

Activity Codes	
R01-like SNAPs	D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5, P01, P20, P30, P40, P41, P50, PL1, R24, S11, U01, U10, U19, U24, U34, U54
Individual K SNAPs	K01, K02, K05, K06, K07, K08, K18, K22, K23, K24, K25, K26, K99, KL1
Education SNAPs	D43, K30, R13, R25, RL5, T14, T36, U13, U2R
Fellowships	F05, F30, F31, F32, F33, F34, F37
SBIR/STTR SNAPs	R41, R42, R43, R44, U43, U44

Non-SNAP Applications

- Implementation of the RPPR for non-SNAP awards in pilot



- Paper PHS 2590 must be used for progress reports for awards that require annual budgets
 - E.g., Training (T) awards

Due Dates

- Due Dates are the same as today:
 - **SNAP** - 45 days prior to the start of the next budget period
 - **Fellowships** - two months prior to the start of the next budget period



Due Dates (continued)

- Locate due dates:
 - Notice of Award (NoA) - May indicate a different due date which supersedes the above dates. Consult NoA's to determine when SNAP procedures apply
 - eRA Commons Status system
 - eRA Quick Queries system
 - Automatic email notifications sent to the PD/PI prior to due date

RPPR Sections

A. Cover Page

B. Accomplishments 

C. Products

D. Participants 

E. Impact 

F. Changes

G. Special (NIH) Reporting Requirements 



H. Budget - SF424 forms



New Information Requested

RPPR: Getting Started

Electronic Research Administration
eRA Commons
Sponsored by National Institutes of Health

Home Admin Institution Profile Personal Profile Status **eSNAP** Internet Assisted Review xTrain Admin Supp eRA Partners

Grant List

Manage eSNAP ?

eSNAP-eligible grants

Status and Due Date

Form App

OMB Approval Number. OMB No. 0925-0001/PHS2590 Approved through 11/30/2010

Select Grant Number link to manage the eSNAP:

Grant Number	PD/PI Name	Project Title	Due Date	Status
5R01AT901478-03	PI Name	Cancer Research in Simple Note Abstracting Programs	01/18/2014	Reviewer Work in Progress
5R01HG900505-03		Heart Research to Sample Northern Area Pumpkins	01/18/2014	PD/PI Work in Progress

A. Cover Page

Populated from PI's eRA Commons profile

Save Cancel

Grant Information	A.4 Recipient Organization Information
Grant Number: 5R01AT901478-03	Organization Name: MSKCC
Project Title: Cancer Research in Simple Note Abstracting Programs	Address: 1275 York Ave., NY, NY 10065
DUNS:	
A.1 Program Director/Principal Investigator (PD/PI) Information	
Name: PI Name	EIN: DEM000424
E-mail: email@mskcc.org	Recipient ID: 123456789012
Phone: 301-555-1212	
Is there a change of contact PD/PI on a multiple-PI award? <input checked="" type="radio"/> N/A <input type="radio"/> Yes <input type="radio"/> No	Project Grant Period
If yes, provide the eRA Commons ID of the new contact PD/PI	Start Date: 01/18/2013 End Date: 01/18/2014
A.2 Signing Official Information	Reporting Period
Name:	Start Date: 01/19/2012 End Date: 01/18/2013
E-mail: Select Signing and Administrative Officials from drop-down menu	Requested Budget Period
A.3 Administrative Official Information	Start Date: 01/18/2013 End Date: 01/18/2014
Name:	Report Frequency: Annual Other Frequency:
E-mail:	
Phone:	
Click Save and move to B Accomplishments	
Save Cancel	


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B. Accomplishments

B.1 What are the major goals of the project?

List the major goals of the project as stated in the approved application or as approved by the agency. If the application lists milestones/target dates for important activities or phases of the project, identify these dates and show actual completion dates or the percentage of completion.


Generally, the goals will not change from one reporting period to the next. However, if the awarding agency approved changes to the goals during the reporting period, list the revised goals and objectives. Also explain any significant changes in approach or methods from the agency approved application or plan.

 "Goals" are equivalent to "specific aims." Significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2).

List the major goals below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)



Total remaining allowed limit is 8000 characters.


 B.1.a Have the major goals changed since the initial competing award or previous report? ☐ Yes ☒ No

- Major goals = specific aims
- Only entered the first year of submitting RPPR; then pre-populates
- Changes to goals requires written approval from sponsor before RPPR

B. Accomplishments *(continued)*

B.2 What was accomplished under these goals?

For this reporting period describe: 1) major activities; 2) specific objectives; 3) significant results, including major findings, developments, or conclusions (both positive and negative); and 4) key outcomes or other achievements. Include a discussion of stated goals not met. As the project progresses, the emphasis in reporting in this section should shift from reporting activities to reporting accomplishments.

 "Goals" are equivalent to "specific aims." In the response, emphasize the significance of the findings to the scientific field.

 Response should not exceed 2 pages.

Upload accomplishments

- Progress report
- DO NOT report publications in this section by adding them in the text
- Upload PDF; 6 MB max limit, 2 pages preferred

B. Accomplishments *(continued)*



B.3 Competitive Revisions/Administrative Supplements

For this reporting period, is there one or more Revision/Supplement associated with this award for which reporting is required? ☐ Yes ☐ No

If yes, identify the Revision(s)/Supplement(s) by grant number (e.g., 3R01CA098765-01S1) or title and describe the specific aims and accomplishments for each Revision/Supplement funded during this reporting period. Include any supplements to promote diversity or re-entry, or other similar supplements to support addition of an individual or a discrete project.

Revision/Supplement #

or Revision/Supplement Title

Total remaining allowed limit is 255 characters.

Describe the specific aims for this Revision/Supplement below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

Describe the accomplishments for this Revision/Supplement below (Limit is 700 characters or approximately 1/4 of a page.)


Total remaining allowed limit is 700 characters.

B. Accomplishments *(continued)*

B.4 What opportunities for training and professional development has the project provided?

If the research is not intended to provide training and professional development opportunities or there is nothing significant to report during this reporting period, state "Nothing to Report."

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. "Training" activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. "Professional development" activities result in increased knowledge or skill in one's area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

 For T, F, K, R25, R13, D43 and other awards or award components designed to provide training and professional development opportunities, a response is required. Do not reiterate what is reported under Accomplishments. Limit the response to this reporting period.

☐ Nothing to Report

or upload description

Add Attachment

Delete Attachment


View Attachment

- Include participation in conferences, workshops and/or mentoring not listed under accomplishments
- Upload PDF, no page limit
- If N/A, use Nothing to Report

B. Accomplishments *(continued)*

B.5 How have the results been disseminated to communities of interest?

Describe how the results have been disseminated to communities of interest. Include any outreach activities that have been undertaken to reach members of communities who are not usually aware of these research activities, for the purpose of enhancing public understanding and increasing interest in learning and careers in science, technology, and the humanities.

 Reporting the routine dissemination of information (e.g., websites, press releases) is not required. For awards not designed to disseminate information to the public or conduct similar outreach activities, a response is not required and the grantee should select "Nothing to Report". A detailed response is only required for awards or award components that are designed to disseminate information to the public or conduct similar outreach activities. Note that scientific publications and the sharing of research sources will be reported under Products.

☐ Nothing to Report

or enter response below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)



Total remaining allowed limit is 8000 characters.

- If your award included a specific requirement to disseminate information, describe
- Textbox - note character limit

B. Accomplishments *(continued)*

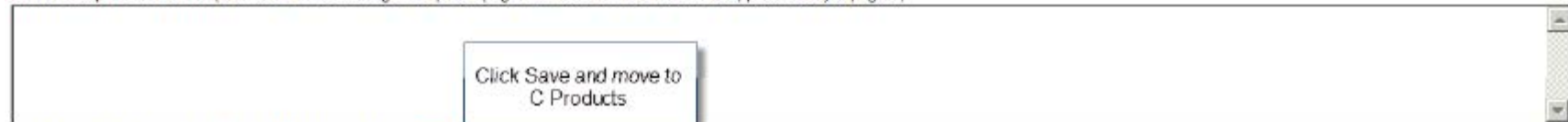
B.6 What do you plan to do during the next reporting period to accomplish the goals?

Describe briefly what you plan to do during the next reporting period to accomplish the goals and objectives.

Remember that significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2.).

Include any important modifications to the original plans. Provide a scientific justification for any changes involving research with human subjects or vertebrate animals. A detailed description of such changes must be provided under Changes.

Enter response below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)


A screenshot of a web form with a large text input area. A callout box with a pointer indicates to 'Click Save and move to C Products'.

Total remaining allowed limit is 8000 characters

Save Cancel [A Cover Page](#) | [B Accomplishments](#) | [C Products](#) | [D Participants](#) | [E Impact](#) | [F Changes](#) | [G Special Reporting Req](#) | [H Budget](#)

- Textbox - note character limit
- Significant changes require prior approval

C. Products

 Publications

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award? ☒ Yes ☐ No

If yes, select from the table below to affiliate publications with this progress report.

If you need to login to My NCBI account please use this link: [My NCBI](#) ?

All publications associated with this project in My NCBI

No items found.

[Associate with this RPPR](#) [NIH Public Access Compliance](#) [Citation](#)

Nothing found to display.

☐ Hide publications from My NCBI

Publications not associated with this project in My NCBI

No items found.

[Associate with this RPPR](#) [NIH Public Access Compliance](#) [Citation](#)


Nothing found to display.

- Section C1 is the **ONLY** place to report on publications
- PD/PIs are required to report all publications that arise from their NIH award.
- **Publication list is populated from MyNCBI**
 - PI's MyNCBI account and eRA Commons account **must be linked**
- Publications not compliant with the NIH Public Access Policy (no PMCID) can be submitted but must be remedied within 10 business days

C. Products *(continued)*

C.2 Website(s) or other Internet site(s)

List the URL for any Internet site(s) that disseminates the results of the research activities. A short description of each site should be provided. It is not necessary to include the publications already specified above.

 For awards not designed to create or maintain one or more websites select "Nothing to Report". A description is only required for awards designed to create or maintain one or more websites. Limit the response to this reporting period.


☐ Nothing to Report

or list URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

C.3 Technologies or techniques

Identify technologies or techniques that have resulted from the research activities. Describe the technologies or techniques and how they are being shared.

 Limit the response to this reporting period.

☐ Nothing to Report

or identify and describe technologies or techniques below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

I

Total remaining allowed limit is 8000 characters.

C.4 Inventions, patent applications, and/or licenses

Have inventions, patent applications and/or licenses resulted from the award during this reporting period? ☐ Yes ☐ No

If yes, has this information been previously provided to the PHS or to the official responsible for patent matters at the grantee organization? ☐ Yes ☐ No

Reporting of inventions through [iEdison](#) is strongly encouraged.

C. Products *(continued)*

C.5 Other products and resource sharing

C.5.a Other Products

Identify any other significant products that were developed under this project.

 Describe the product and how it is available to be shared with the research community. Do not repeat information provided above. Limit the response to this reporting period.

Examples of other products are: audio or video products; data and research material (e.g., cell lines, DNA probes, animal models); databases; educational aids or curricula; instruments or equipment; models; protocols; and software or network.

☐ Nothing to Report

or upload Response

Add Attachment

Delete Attachment

View Attachment

C.5.b Resource sharing

If the initial research plan addressed, or the terms of award require, a formal plan for sharing final research data, model organisms, Genome Wide Association Studies data, or other such project-specific data, describe the progress in implementing that plan. For sharing model organisms, include information on the number of requests received and number of requests fulfilled during this reporting period. If the sharing plan is fully implemented, provide a final statement on data sharing.

☐ Nothing to Report

or upload Response

Click Save and move to
D Participants

Add Attachment

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Save

Cancel

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- Section C5b - If the award included a required resource sharing plan, describe how data/resources have been shared
- Upload PDF, no page limit

D. Participants



D.1 What individuals have worked on the project?

Provide OR UPDATE the following information FOR: (1) program director(s)/principal investigator(s) (PDs/Pis); AND (2) EACH person who has worked AT LEAST one person MONTH per YEAR ON the project during the reporting period, regardless OF the source OF compensation (a person MONTH equals approximately 160 hours OR 8.3% OF annualized effort).

Provide the name AND identify the ROLE the person played IN the project. Indicate the nearest whole person MONTH (Calendar, Academic, Summer) that the individual worked ON the project. Show the most senior ROLE IN which the person has worked ON the project FOR ANY significant LENGTH OF TIME. FOR example, IF an undergraduate student graduates, enters graduate school, AND continues TO WORK ON the project, show that person AS a graduate student.

Instructions

- An individual's Commons user ID may be used to partially populate his or her information.
- A Commons ID is required for all individuals with a postdoctoral role.
- Individuals with a postdoctoral-like role should be identified as "Postdoctoral (scholar, fellow, or other postdoctoral position)".
- Do not include Other Significant Contributors who are not committing any specified measurable effort to this project.
- Do not report personnel for whom a PHS 2271 Appointment form has been submitted through xTRAIN.
- Required fields are marked with an *.

eRA Commons User ID ?

[Populate from Profile](#)

*First Name Middle Name *Last Name *Senior/Key Personnel? ☐ Yes ☐ No Last 4 digits of Social Security Number DoB (MM/YYYY)

Degree(s) *Project Role Supplement Support (\$\$) *Person Months

Other (Project Role)

*Is the individual's primary affiliation with a foreign organization? ☐ Yes ☐ No

Check "no" if the individual's primary affiliation is with a foreign organization but the individual is working on this award solely while in the U.S.

If yes, provide the name of the organization and country

Organization Country

[Add/New](#) [Clear](#)

[Click Edit to add Person Months of](#)

List of Participants

Commons ID	S/K	Name	SSN	DOB	Degree(s)	Role	Person Months			Foreign Affiliation		\$\$	Action
							Cal	Aca	Sum	Org	Country		
PI's Information						PD/PI	0	0	0			Not Applicable	Edit

D. Participants *(continued)*



D.2 Personnel Updates

D.2.a Level of Effort

Will there be, in the next budget period, either (1) a reduction of 25% or more in the level of effort from what was approved by the agency for the PD/PI(s) or other senior/key personnel designated in the Notice of Award, or (2) a reduction in the level of effort below the minimum amount of effort required by the Notice of Award?

☐ Yes ☐ No

Reductions are cumulative, i.e., the 25% threshold may be reached by two or more successive reductions that total 25% or more. Once agency approval has been given for a significant change in the level of effort, then all subsequent reductions are measured against the approved adjusted level. Selecting "yes" constitutes a prior approval request to the agency and the issuance of a subsequent year of funding constitutes agency approval of the request.

If yes, provide an explanation below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.


- Prior approval request for reduction of >25% for PD/PI or other individual designated on NoA
 - Only applicable if change coincides with the budget period; otherwise, separate prior approval & acceptance required before reducing

D. Participants *(continued)*

D.2.b New Senior/Key Personnel

Are there, or will there be, new senior/key personnel? ☐ Yes ☐ No

Senior/key personnel are those identified by the grantee institution as individuals who contribute in a substantive measurable way to the scientific development or execution of the project, whether or not salaries are requested. Typically these individuals have doctoral or other professional degrees, although individuals at the masters or baccalaureate level may be considered senior/key personnel if their involvement meets this definition. Consultants may be considered senior/key personnel if they meet this definition. "Zero percent" effort or "as needed" is not an acceptable level of involvement for senior/key personnel.

If yes, upload biosketches and other support for all new senior/key personnel 

Add Attachment

Delete Attachment

View Attachment

- Only 1 attachment allowed
 - for multiple new senior/key personnel, combine the PDFs and upload together

D. Participants *(continued)*

D.2.c Changes in Other Support ?

Has there been a change in the active other support of senior/key personnel since the last reporting period? ☐ Yes ☐ No

If yes, upload active other support for senior/key personnel whose support has changed and indicate what the change has been

D.2.d New Other Significant Contributors

Are there, or will there be, new other significant contributors? ☐ Yes ☐ No

Other significant contributors are individuals who have committed to contribute to the scientific development or execution of the project, but are not committing any specified measurable effort (i.e., person months) to the project.

If yes, upload biosketches for all new other significant contributors

D.2.e Multi-PI (MPI) Leadership Plan ?

Will there be a change in the MPI Leadership Plan for the next budget period? ☒ N/A ☐ Yes ☐ No

Change in status of PD/PI requires prior approval of the agency (e.g., NIH Grants Policy Statement, 8.1.2.6).

If yes, upload a revised MPI Leadership Plan that includes a description of the change(s)

Click Save and move to
E Impact

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- Upload PDF, as applicable;
- For MPI awards: Changing the makeup of the PIs requires prior approval;
Change in Leadership Plan does not

E. Impact

Save Cancel

E.1 Not Applicable

E.2 What is the impact on physical, institutional, or information resources that form infrastructure?

Describe ways, if any, in which the project made an impact, or is likely to make an impact, on physical, institutional, and information resources that form infrastructure, including:

- physical resources (such as facilities, laboratories, or instruments);
- institutional resources (such as establishment or sustenance of societies or organizations); or
- information resources, electronic means for accessing such resources or for scientific communication, or the like.

☒ If the award or award component(s) is not intended to support physical, institutional, or information resources that form infrastructure, select "Nothing to Report".

☐ **Nothing to Report**

or describe impact on physical, institutional, or information resources below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is **8000** characters.


- Describe ways in which the work, findings, and specific products of the project have had an impact during this reporting period.
- Text box - note character limit
- Check “Nothing to Report” as applicable

E. Impact *(continued)*



E.3 Not Applicable

E.4 What dollar amount of the award's budget is being spent in foreign country(ies)? 

 For domestic awardees provide the dollar amount obligated to first-tier subawards to foreign entities for this reporting period. For foreign awardees provide the dollar amount of the award, excluding all first-tier subawards to U.S. entities, for this reporting period. Dollars provided should reflect total costs.

If more than one foreign country, identify the distribution between the foreign countries.

☐ Nothing to Report(zero dollars)

or provide the following for each foreign country: Dollar Amount Country

Click Save and move to
F Changes

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F. Changes

Save Cancel

F.1 Not Applicable

F.2 Actual or anticipated challenges or delays and actions or plans to resolve them

Describe challenges or delays encountered during the reporting period and actions or plans to resolve them.

 Describe only significant challenges that may impede the research (e.g., accrual of patients, hiring of personnel, need for resources or research tools) and emphasize their resolution.

☐ Nothing to Report

or describe challenges or delays and plans to resolve them below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

- F1 - only applicable to Education awards
- F2 - only significant challenges need to be reported
- Text box - note character limit

F. Changes *(continued)*

F.3 Significant changes to Human Subjects, Vertebrate Animals, Biohazards, and/or Select Agents

Describe significant deviations, unexpected outcomes, or changes in approved protocols for human subjects, vertebrate animals, biohazards, and/or select agents during this reporting period.

Remember that significant changes in objectives and scope require prior approval of the agency (e.g., NIH Grants Policy Statement, B.1.2.). If there are changes in any of the following areas check the appropriate box and provide a description of the changes.

F.3.a Human Subjects

If human subject protocols are or will be different from the previous submission, include a description and explanation of how the protocols differ and provide a new or revised Protection of Human Subjects Section as described in the competing application instructions.

☐ No Change

or upload description of change

Add Attachment

Delete Attachment

View Attachment

F.3.b Vertebrate Animals

If there are or will be significant changes to the uses of vertebrate animals from the previous submission, provide a description of the changes. Examples of changes considered to be significant include, but are not limited to, changing animal species, changing from noninvasive to invasive procedures, new project/performance site(s) where animals will be used, etc. If studies involving live vertebrate animals are planned and were not part of the originally proposed research design, provide a new or revised Vertebrate Animal Section as described in the competing application instructions.

☐ No Change

or upload description of change

Add Attachment

Delete Attachment

View Attachment

F.3.c Biohazards

If the use of biohazards is or will be different from the previous submission, provide a description and explanation of the difference(s).

☐ No Change

or upload description of change

Add Attachment

Delete Attachment

View Attachment

F.3.d Select Agents

If the possession, use, or transfer of Select Agents is or will be different from that proposed in the previous submission, including any change in the select agent research location and/or the required level of biocontainment, provide a description and explanation of the differences. If the use of Select Agents was proposed in the previous submission but has not been approved by regulatory authorities, provide an explanation. If studies involving Select Agents are planned and were not part of the originally proposed research design, provide a description of the proposed use, possession, transfer, and research location as described in the competing application instructions.

U.S. Select Agent Registry information: <http://www.selectagents.gov/Select%20Agents%20and%20Toxins.html>

☐ No Change

or upload description of change

Click Save and move to
G Special Reporting Req

Add Attachment

Delete Attachment

View Attachment

Save

Cancel

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G. Special Reporting Requirements

G.1 Special Notice of Award Terms and Funding Opportunity Announcement Reporting Requirements

Address any special reporting requirements specified in the award terms and conditions in the [Notice of Award \(NoA\)](#) or Funding Opportunity Announcement (FOA).

☐ Nothing to Report

or upload file(s)

G.2 Not Applicable

G.3 Not Applicable

- G2 - Only applicable to K, F, education awards (RCR education)
- G3 - Only applicable to K, F, awards (mentor's report)

G. Special Reporting Requirements

(continued)

G.5 Human Subjects Education Requirement

Are there personnel on this project who are or will be newly involved in the design or conduct of human subjects research?

☐ Yes ☐ No

If yes, provide the following in the text box below (Limit is 1300 characters or approximately 1/2 of a page.)

- names of individuals,
- title of the education program completed by each individual, and
- a one sentence description of the program

Total remaining allowed limit is **1300** characters.

G.6 Human Embryonic Stem Cells (hESCs)

Does this project involve human embryonic stem cells? ☐ Yes ☐ No

Only hESC lines listed as approved in the NIH [Registry](#) may be used in NIH funded research.

If yes, identify the hESC Registration number(s) from the NIH Registry

If there is a change in the use of hESCs provide an explanation below (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is **700** characters.

- G6 - Link to hESC lines registry; use this registration number if answering Yes

G. Special Reporting Requirements

(continued)

G.7 Vertebrate Animals

Does the project involve vertebrate animals? ☒ Yes ☐ No

G.8 Project/Performance Sites

If there are changes to the project/performance site(s) displayed below, edit as appropriate. ?

*Required field(s)

*Organization Name	<input type="text"/>
*DUNS or DUNS+4	<input type="text"/>
*Address 1	<input type="text"/>
Address 2	<input type="text"/>
*City	<input type="text"/>
*State	<input type="text" value="Please select a state"/>
Province	<input type="text" value="Please select a province"/>
County	<input type="text"/>
*Country	<input type="text" value="UNITED STATES"/>
*Zip Code	<input type="text"/>
* Congressional District (e.g. MD-08 for Maryland, 8th District)	<input type="text"/>

*Is this the primary Project/Performance Site? ☐ Yes ☐ No

[Add/New](#)

[Clear](#)

Project/Performance Sites				
Organization Names	DUNS	Congressional District	Address	Action
Primary:MSKCC	DEMO00424		SOME ORGANIZATION, SOME CITY MD, 12345,UNITED STATES	Edit Delete

G. Special Reporting Requirements

(continued)

G.9 Foreign Component

"Foreign component" is defined as significant scientific activity that was performed outside of the United States, either by the grantee or by a researcher employed by a foreign organization, whether or not grant funds were expended. The following grant-related activities are significant and must be reported:

- involvement of human subjects or research with live vertebrate animals;
- extensive foreign travel by grantee project staff to collect data, or conduct surveys or sampling activities; or
- any grantee activity that may have an impact on U.S. foreign policy.



Examples of other grant-related activities that may be significant are:

- collaborations with investigators at a foreign site anticipated to result in co-authorship;
- use of facilities or instrumentation at a foreign site; or
- receipt of financial support or resources from a foreign entity.

Foreign travel for consultation does not meet the definition of foreign component.

☐ No foreign component

or provide the organization name, country, and description of each foreign component

Organization Name Country

Description of Foreign Component (Limit is 700 characters or approximately 1/4 of a page.)

Total remaining allowed limit is 700 characters.

- G9 - Note definition of Foreign Component
- If foreign component exists, provide name, country and description of involvement.
- Text box - note character limit

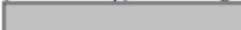
G. Special Reporting Requirements

(continued)

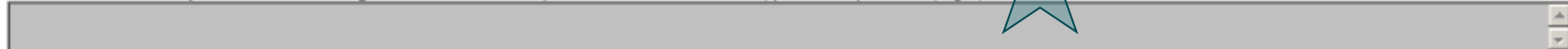
G.10 Estimated Unobligated Balance

G.10.a Is it anticipated that an estimated unobligated balance (including prior year carryover) will be greater than 25% of the current year's total approved budget? ☐ Yes ☐ No

The "total approved budget" equals the current fiscal year award authorization plus any approved carryover of funds from a prior year(s). The numerator equals the total amount available for carryover and the denominator equals the current year's total approved budget.

If yes, provide the estimated unobligated balance. 

G.10.b Provide an explanation for unobligated balance below (Limit is 700 characters or approximately 1/4 of a page.)



Total remaining allowed limit is 700 characters.

G.10.c If authorized to carryover the balance, provide a general description of how it is anticipated that the funds will be spent. To determine carryover authorization, see the [Notice of Award](#) (Limit is 1300 characters or approximately 1/2 of a page.)



Total remaining allowed limit is 1300 characters.

- G10 - note new requests for information - balance and explanation of how funds carried forward will be spent

G. Special Reporting Requirements

(continued)

G.11 Program Income

Is program income anticipated during the next budget period? ☐ Yes ☐ No

If yes, use the format below to reflect the amount and source(s)

Anticipated Amount	Source(s)
<input type="text"/>	<input type="text"/>

G.12 F&A Costs

Is there a change in performance sites that will affect F&A costs? ☐ Yes ☐ No

If yes, provide an explanation below (Limit is 1300 characters or approximately 1/2 of a page.)

Click Save and move to
H Budget

Total remaining allowed limit is 1300 characters.

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H. Budget

Home Admin Institution Profile Personal Profile Status **eSNAP** Internet Assisted Review xTrain Admin Supp eRA Partners

Grant List **Manage eSNAP**

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H. Budget

Not Applicable

Click Manage eSNAP to review

Save Cancel

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- H - Not currently applicable; Will be used for NON-SNAP awards

RPPR: Completion and Routing

Home Admin Institution Profile Personal Profile Status **eSNAP** Internet Assisted Review xTrain Admin Supp eRA Partners

Grant List **Manage eSNAP**

RPPR Menu ?

Application Information

Grant Number: 5R01AT901478-03

Institution: MSKCC

PD/PI Name:

Project Title: Cancer Research in Simple Note Ab...

Due Date: 01/18/2014

Current Reviewer:

Status: PD/PI Work in Progress

Check for errors,
View complete report,
Submit for GMS review

- Manage eSNAP
- Check for Errors and save any changes

RPPR: Completion and Routing

(continued)

Home Admin Institution Profile Personal Profile Status **eSNAP** Internet Assisted Review xTrain Admin Supp eRA Partners

Grant List **Manage eSNAP**

Route RPPR to Next Reviewer ?

Name: Grant Number: 5R01AT901478-03

Grantee Institution: MSKCC

Next Reviewer:

Comments:

- Select your GMS from the Reviewer drop-down

RPPR: Completion and Routing

(continued)

[Grant List](#) [Manage eSNAP](#)

Route RPPR to Next Reviewer ?

PD/PI Assurance
I certify that the statements herein are true, complete and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. As PD/PI, I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of this submission.

- Sign the RPPR electronically and it will be routed to the GMS for review and submission

Finished!

RPPR Menu ?

The RPPR was successfully routed to SIGNINGOFF,

GMS

Application Information

Grant Number: 5R01AT901478-03

Institution: MSKCC

PD/PI Name: PI Name

Project Title: Cancer Research in Simple Note Abstracting Prog

Due Date: 01/18/2014

Current Reviewer: GMS

Status: Reviewer Work in Progress

PI can view status of report
in review process or recall

Edit Check for Errors View View Routing History Route Recall Submit Cancel