# Routing for Proposal Approval & Submission (RPAS)



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### Section D - OGCA Signature

By signing this form, I certify that this proposal has been reviewed according to the OGCA Proposal Submission Guidelines, has passed review and to the best of my knowledge meets all applicable sponsor/agency, state, federal, and university policies, regulations, and standards.

OGCA Authorized	Official:	
OGCA Authorized	Official:	

# **Section E - Compliance and Other Information**

By signing in Section C, all project and department personnel confirm they have reviewed and are in agreement with the terms in this section.

### **Small Business Subcontracting**

If this is a expected to be a federal contract over \$750,000, a small business contracting plan will be required. Please coordinate with OGCA.

#### **Conflicts of Interest**

If there are any conflicts of interest, be sure to follow any sponsor required disclosure policy and UA disclosure policy. More information can be found here: https://www.uaf.edu/ogca/resources/regulations/conflicts/

# **Intellectual Property/Commercialization**

If this project is likely to produce intellectual property, i.e., innovations that might be protectable under patent, copyright, or trade secret law, please contact uaf-oipc@alaska.edu with questions.

### **Export Control:**

If any of the following apply to this project, please notify the Office of Reserach Integrity Export Control Officer.

- 1. Travel to or visitors from a foreign country
- 2. Traveling to or doing research in or with a country currently under a US Trade or Economics Embargo, per the Office of Foreign Assets Control (OFAC) list
- 3. Export controls indicated in the solicitation or in discussions with the sponsor, or research relating directly to a military technology
- 4. Fabrication of a prototype that contains components subject to Export Control regulations
- 5. Training foreign nationals to use scientific equipment, technology, or technical data
- 6. Shipment of equipment outside of the US
- 7. Collaboration with a foreign entity
- 8. Funding opportunity announcement (FOA) that includes any restriction on the involvement of a foreign national on this project

# **NASA - China Assurances**

If a NASA project, the PI agrees to comply with the China Funding Restriction (Section 1340 of Public Law 112-10 and Section 539 of Public Law 112-55). More information can be found here: https://science.nasa.gov/researchers/sara/faqs/prc-faq-roses

Immediately contact the Office of Research Integrity Export Control Officer prior to submitting a RPAS if this is a NASA funded project and you anticipate answering "Yes" to any of the following:

(1) Do you have any affiliation with the Chinese State to include the Government of the People's Republic of China or entities that are part of or controlled by the Chinese State,

including any Chinese university or any Chinese-owned corporation?

- (2) Do you intend to collaborate on this project with any person with an affiliation with China?
- (3) Are you performing any research on behalf of a Chinese-owned foreign corporation?
- (4) Will you be allowing anyone from China to work in your lab in any capacity?
- (5) Do you anticipate traveling to China for this or other projects?

# **NIH Individual Fellowship Assurance**

This section is required only for NIH F series (e.g., F30, F31, F32, F33) proposals. The assurance is required in accordance with NIH Grants Policy Statement, Section 2.3.7.6 Program Director/Principal Investigator, Individual Fellowship and Sponsor Assurance. The NIH Fellow (PI) and Fellow's Academic Sponsor (Co-PI) as named in the Personnel section certify to the following NIH Individual Fellowship Assurance; their signatures on this RPAS form confirm their acceptance.

# NIH Individual Fellowship Assurance

- (1) The Academic Sponsor will provide appropriate training, adequate facilities, and supervision if a grant is awarded as a result of the application.
- (2) The Fellow has read the Ruth L. Kirschstein National Research Service Award Payback and will abide by the Assurance if an award is made. (See NRSA Payback Service

Center Home Page and FAQs).

(3) If an award is made, the award will not support residency training.

# **NIH Responsible Conduct of Research Training**

Ensure all trainees, fellows, participants, and scholars, if proposing NIH support, received instruction in responsible conduct of research.

Ensure all undergraduate, graduate, and postdoctoral researchers, if proposing NSF support, received appropriate training and oversight the responsible and ethical conduct of research.	n
Section F - Notes/Comments	
Department Comments:	
OGCA Pre-award Comments:	
OGCA Post-award Comments:	