

UAF Research Expenditures FY15-FY20 (\$000's)						
	FY15	FY16	FY17	FY18	FY19	FY20
1 Federal Research (including ICR) <i>Federal</i> grant-funded research expenditures including both direct research expenditures as well as indirect cost recovery (ICR) from <u>restricted</u> research grants spent on research and administrative support.	\$81,585.7	\$89,940.4	\$97,313.9	\$94,492.7	\$95,231.5	\$101,168.3
2 Research (NCHEMS w/Capital Projects) External research funding, including <i>federal</i> grant-funded expenditures, <i>state</i> pass-throughs, and <i>state capital</i> research grants. This figure does <u>not</u> include <u>unrestricted</u> funds.	\$97,535.5	\$102,532.0	\$106,384.4	\$100,986.0	\$104,885.4	\$110,876.2
3 UA Research Performance Definition Grant-funded operating research expenditures from <i>federal</i> , <i>state</i> , and <i>private</i> sources, including both direct research expenditures as well as Indirect Cost Recovery from <u>restricted</u> research grants spent on research and administrative support. This metric does <u>not</u> consider expenditures for non-research sponsored activities such as public service or outreach.	\$97,513.0	\$102,434.9	\$106,384.5	\$100,986.0	\$104,885.4	\$110,876.2
4 Federal Research w/Public Service & Instruction						
a. w/o Financial Aid (scholarships)	\$93,509.0	\$100,177.4	\$119,718.1	\$111,092.9	\$115,981.3	\$118,853.8
b. w/ Financial Aid (scholarships)	\$93,650.3	\$100,364.9	\$120,402.6	\$111,757.6	\$116,674.1	\$119,536.2
<i>Federal</i> grant-funded research expenditures including both direct research expenditures as well as indirect cost recovery (ICR) from restricted research grants spent on research and administrative support. This figure <u>includes</u> research dollars classified as public service or as Instruction.						
5 Research (NCHEMS) - not including ARRA or capital funds Grant-funded operating research expenditures, including ICR, directly related to scientific and academic research, including both <u>restricted</u> and <u>unrestricted funds</u> from <i>federal</i> , <i>state</i> , and <i>private</i> sources.	\$118,504.9	\$127,118.6	\$141,412.1	\$132,096.2	\$139,077.5	\$139,841.1
6 Research (NCHEMS) - including ARRA and capital funds Grant-funded operating research expenditures, including ICR, directly related to scientific and academic research, including both <u>restricted</u> , <u>unrestricted</u> and <u>capital funds</u> from <i>federal</i> , <i>state</i> , and <i>private</i> sources.	\$124,042.2	\$129,044.5	\$141,024.0	\$130,017.5	\$137,173.1	\$137,369.2
7 Audited Financial Statements - Schedule of Expenditures of Federal Awards (SEFA) The research cluster reported on UA's schedule of expenditures for federal awards (SEFA) prepared in accordance with the requirements described in the U.S. Office of Management and Budget (OMB) Uniform Guidance.	\$100,096.6	\$89,046.2	\$103,741.6	\$97,668.5	\$102,303.3	\$108,555.4
8 National Science Foundation (NSF) Survey The NSF Higher Education Research and Development (HERD) survey collects all research expenditures, including <i>federal</i> , <i>state</i> , and <i>private</i> sources. Unlike the other figures represented in this table, the NSF also reports <u>unrecovered</u> indirect costs. The NSF figure is the most inclusive definition of an institution's legitimate research capacity.	\$142,742.3	\$144,259.0	\$148,607.0	\$140,916.8	\$144,602.5	\$152,298.6

FY20 Sources:

- 1 - UA in Review, Table 5.06 (preliminary as of August 2020)
- 2 - UA in Review, Table 5.06 (preliminary as of August 2020)
- 3 - UA in Review, Table 5.06 (preliminary as of August 2020)
- 4 - UA FY21 Yellowbook - Fairbanks Organized Research Allocation (pg 54)
- 5 - UA FY21 Yellowbook - all University of Alaska Fairbanks (pg 48) and UA in Review, Table 5.01 (prelim as of August 2020)
- 6 - FY20 UAF Financial Review, Appendix 1.D.1
- 7 - UA reported Schedule of Expenditures for Federal Awards (SEFA)
- 8 - UAF Planning, Analysis and Institutional Research